

# SWAGTAM TRADING & SERVICES LIMITED

Regd. Office: R-489, GF-A, New Rajinder Nagar, New Delhi – 110060  
Tel : 011-42475489 E-mail : [swagtam1984@gmail.com](mailto:swagtam1984@gmail.com) Website : [www.swagtam.com](http://www.swagtam.com)  
CIN: L51909AS1984PLC007078

## Statement showing Shareholding Pattern

Name of the Company

SWAGTAM TRADING & SERVICES LTD

CIN : L51909DL1984PLC289131

Scrip Code- DSE, Name of the scrip, class of security

EQUITY

Share Holding Pattern Filed under Reg. 31 (1) (b)

31.12.2015

### Declaration :

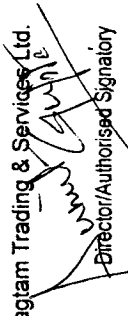
S. No.	Particulars	Yes / No
1	Whether the Listed Entity has issued any partly Paid Shares	NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants*	NO
3	Whether the Listed Entity has any shares against which Depository receipts issued	NO
4	Whether the Listed Entity has any shares in locked - in	YES
5	Whether any shares held by promoters are pledge or otherwise encumbered	NO

For Swagtam Trading & Services Ltd.

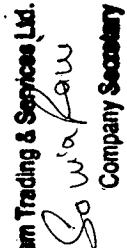
  
Director/Authorised Signatory

Table 1 - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)		Number of Voting Rights held in each class of securities (IX)		No. of Shares Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII) + (X) As a % of (A+B+C2)		Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
							No of Voting Rights Class : Equity	Total	% of (A+B+C)	No. (a)		As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
	Promoter & Promoter Group	5	49200	0	0	49200	4.13	4.13	49200	4.13	0	0	0	0	0	0	0	49200
(A)	Public	532	1141300	0	0	1141300	95.87	95.87	1141300	95.87	0	0	943000	79.21	0	0	0	1079874
(C)	Non Promoter-Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	<b>Total</b>	<b>537</b>	<b>1190500</b>	<b>0</b>	<b>0</b>	<b>1190500</b>	<b>100.00</b>	<b>100.00</b>	<b>1190500</b>	<b>100</b>	<b>0</b>	<b>0</b>	<b>943000</b>	<b>79.21</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1129074</b>

For Swagtam Trading & Services Ltd.  
  
 Director/Authorised Signatory



For Swagtam Trading & Services Ltd.  
  
 Company Secretary

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of shareholder (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)		No. of Shares Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (XI) = (VII) + (X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights	Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
1																	
(a) Individual																	
(a) Individuals/Hindu undivided																	
(a) Name (xyz...)																	
(b) Government/State																	
(b) Government(s)																	
(b) Name (xyz...)																	
(c) Financial Institutions/																	
(c) Name (xyz...)																	
(c) Any Other																	
(d) Corporate		5	49200	0	0	49200	4.13	49200	4.13	0	0	0	0	0	0	0	49200
AS PER ANNEXURE - 1																	
Sub-Total (A)(1)		5	49200	0	0	49200	4.13	49200	4.13	0	0	0	0	0	0	0	49200
2																	
(a) Foreign Individuals (Non-Resident)																	
(a) Foreign Individuals																	
(a) Name (xyz...)																	
(b) Government																	

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*K. Suba Ravi*  
Director/Authorised Signatory

13 JAN 2016

Company Secretary





Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of shareholder (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)		No. of Shares Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities as a percentage of diluted share capital (XI) = (VII) + (X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights Class Equity	Total			No. (a) As a % of total Shares held (b)	No. (a) As a % of total Shares held (b)		
1															
(a) Institutions															
(a) Mutual Funds															
(b) Name (xyz...)															
(b) Venture Capital Funds															
(b) Name (XYZ)															
(c) Alternate Investment Funds															
(c) Name (XYZ)															
(d) Foreign Venture Capital Investors															
(d) Name (XYZ)															
(e) Foreign Portfolio Investors															
(e) Name (XYZ)															
(f) Financial Institutions/Banks															
(f) Name (XYZ)															
(g) Insurance Companies															
(g) Name (XYZ)															
(h) Provident Funds/Pension Funds															
(h) Name (XYZ)															
(i) Any Other (Bodies Corporate) AS PER ANNEXURE-2															
(i) Corporate		2	50000	0	0	50000	4.20	50000	50000	0	0	50000	4.20	0	50000
(i) Sub-Total (B)(1)		2	50000	0	0	50000	4.20	50000	50000	0	0	50000	4.20	0	50000
2															
Central Government/State Government(s)/President of India															
(a) Name (XYZ)		0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b) Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0



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 Director/Authorised Signatory



Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of shareholder (I)	PAN (II)	No. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = IV + V + VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)		No. of Shares Outstanding convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Class Equity	Total of Total Voting rights			No. As a % of total Shares held	No. As a % of total shares held (Not applicable)	
1														
Custodian/DR Holder														
(a) Name of DR Holder (if available)														
(i) Name (Syz...)														
(ii) cftg...														
2														
Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)														
(a) Name (abc...)														
Total Non-Promoter- Non Public Shareholding (C) = (C)(1)+(C)(2)														

Note

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.

For Swagtam Trading & Services Ltd

*[Signature]*  
Director/Authorised Signatory



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*[Signature]*  
Company Secretary

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Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	PAN of Shareholder	No. of fully paid-up Equity Shares Held (IV)	No. of partly paid-up Equity Shares Held (V)	No. of shares underlying Depository Receipts (VI)	Total No. of Shares Held (VII) = (IV) + (V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)		No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities as a percentage of diluted share capital (XI) = (VII) + (X) As a % of (A+B+C2)	No. of Locked in shares (XII)		No. of shares pledge or otherwise (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights				No. (a)	As a % of total shares held (b)	No. (e)	As a % of total shares held (f)	
								Class : Equity	Total							
1	DUNCAN MACNEILL CONSTRUCTION & DEVELOPERS LTD.	AAABCD5109P	12000	0	0	12000	1.01	12000	1.01	0	0.00	0.00	0.00	0.00	0.00	12000
2	BUCKINGHAM INDUSTRIES LTD.	AAACB259C	12000	0	0	12000	1.01	12000	1.01	0	0.00	0.00	0.00	0.00	0.00	12000
3	JEEWAN COMMERCIAL LTD.	AAACJ7613F	12000	0	0	12000	1.01	12000	1.01	0	0.00	0.00	0.00	0.00	0.00	12000
4	ACEC INDIA PVT. LTD.	AAAGCA0046B	1200	0	0	1200	0.10	1200	0.10	0	0.00	0.00	0.00	0.00	0.00	1200
5	EAST WEST PROPERTIES PVT. LTD.	AAACE0441L	12000	0	0	12000	1.01	12000	1.01	0	0.00	0.00	0.00	0.00	0.00	12000
	TOTAL		49200	0	0	49200	4.13	49200	4.13	0.00	0.00	0.00	0.00	0.00	0.00	49200

For Swagtam Trading & Services Ltd.

*[Signature]*  
Director/Authorised Signatory



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*[Signature]*  
Company Secretary

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ANNEXURE - 2

S.R. No.	NAME OF SHAREHOLDERS	PAN	No. of Shares	% of Holding
1	Richa Aggarwal	ABHPA1347G	60,000	5.04
2	Veena Gupta	AFRPG8029B	50,000	4.20
3	Sumit Gupta	AATPG1443N	45,000	3.78
4	Seema Gupta	AFKPG7585D	40,000	3.36
5	Rajesh Gupta	AALPG74303M	40,000	3.36
6	SUNITA RANI	ASUPR5199M	37,500	3.15
7	Amit Gupta	AATPG1441Q	37,100	3.12
8	RAKESH KUMAR GARG	AARPG1490P	31,000	2.60
9	Shivani Garg	ALTPG9441P	30,000	2.52
10	Paayal	BEGPP3614E	30,000	2.52
11	Shweta Gupta	AGPPG9195H	30,000	2.52
12	Aayush Aggarwal	ALAPA1919R	30,000	2.52
13	Saroj Rani Aggarwal	ABQPA9078H	30,000	2.52
14	Anita Aggarwal	AAPNA6586C	30,000	2.52
15	Rajan Mittal	ABNPM8118M	30,000	2.52
16	Manish Aggarwal	AFZPA2150B	25,000	2.10
17	Manish Aggarwal HUF	AAIHM6935J	25,000	2.10
18	Weldon Fincap Pvt. Ltd.	AABCS5281M	25,000	2.10
19	Princep Trade and Finance Pvt. Ltd.	AABCP5338C	25,000	2.10
20	GAURAV MOHAN	ANYPM1623Q	20,000	1.68
21	HARSH VARDHAN BANSAL	AAAPB1908Q	15,100	1.27
22	MEENU BANSAL	AAAPB3590L	15,100	1.27
23	AJAY BINDAL	AADPB9118R	15,100	1.27
24	SUNITA BINDAL	AHFPB9420M	15,100	1.27
25	SHRESTH BANSAL	BBDPB3444C	15,100	1.27
26	JAGBIR SINGH	BLQPS1456L	15,000	1.26
27	VIKAS JINDAL	AEUPJ8625A	12,200	1.02
28	SHIVANI SODHI	AYSPS5870L	12,024	1.01
<b>TOTAL</b>			<b>785324</b>	<b>65.97</b>


For Swagtam Trading & Services Ltd.

*Amit Gupta*  
Director/Authorised Signatory

**ANNEXURE - 3 : Details of the shareholders acting as persons in concert with public including their holding**

PAC	S.R. No.	NAME OF SHAREHOLDERS	PAN No.	No. of Shares	% of Holding
A.	1	AMIT GUPTA	AATPG1441Q	37100	3.12
	2	RICHA AGARWAL	ABHPA1347G	60000	5.04
	3	SUMIT GUPTA	AATPG1443N	45000	3.78
	4	SUNITA RANI	ASUPR5199M	37500	3.15
	5	RAKESH KUMAR GARG	AARPG1490P	31000	2.60
	6	VEENA GUPTA	AFRPG8029B	50000	4.20
	<b>TOTAL</b>				<b>260600</b>
B.	1	GOVIND AGGARWAL	ABVPA5466G	5100	0.43
	2	NARESH KUMAR	ABJPK5857F	5050	0.42
	3	KRISHAN KUMAR	AEWPK0416L	5100	0.43
	4	MUKESH MITTAL	AJGPM2125D	5100	0.43
	5	MAYANK AGGARWAL	AJIPA8512H	5050	0.42
	6	NITIN AGGARWAL	AOTPA2202H	5100	0.43
	7	SHILPI MITTAL	AGCPM3531G	5100	0.43
	8	TARUN AGGARWAL	AOQPA9034E	5050	0.42
	9	AJAY BINDAL	AADPB9118R	15100	1.27
	10	SUNITA BINDAL	AHFPB9420M	15100	1.27
<b>TOTAL</b>				<b>70850</b>	<b>5.95</b>
C.	1	AAYUSH AGGARWAL	ALAPA1919R	30000	2.52
	2	ANITA AGGARWAL	AAPNA6586C	30000	2.52
	3	PAAYAL	BEGPP3614E	30000	2.52
	4	SAROJ RANI AGGARWAL	ABQPA9078H	30000	2.52
	5	SHIVANI GARG	ALTPG9441P	30000	2.52
	6	SHWETA GUPTA	AGPPG9195H	30000	2.52
<b>TOTAL</b>				<b>180000</b>	<b>15.12</b>
D.	1	RAJESH GUPTA	AALPG74303M	40000	3.36
	2	SEEMA GUPTA	AFKPG7585D	40000	3.36
	3	PRINCEP TRADE & FINANCE PVT LTD.	AABCP5338C	25000	2.10
	4	WELDON FINCAP PVT LTD	AABCS5281M	25000	2.10
<b>TOTAL</b>				<b>130000</b>	<b>10.92</b>

For Swagtam Trading & Services Ltd

  
Director/Authorised Signatory