

# SWAGTAM TRADING & SERVICES LIMITED

Regd. Office: Girish Chandra Bardalai Path, Bamunimaidan, Guwahati, Assam, 781021

Corp. Office: 105, LSC, Pocket K & L Market, Sarita Vihar, New Delhi – 110076

Tel :011-4172 6525 E-mail : [swagtam1984@gmail.com](mailto:swagtam1984@gmail.com) [www.swagtam.com](http://www.swagtam.com)

CIN: L51909AS1984PLC007078

## Quarterly Compliance Report on Corporate Governance under Clause 49 of the Listing Agreement

Name of the Company : **Swagtam Trading and Services Limited**  
 Quarter ending on : **31<sup>st</sup> December, 2014**

PARTICULARS	CLAUSE OF LISTING AGREEMENT	COMPLIANCE STATUS YES / NO	REMARKS
<b>I. Board Of Directors</b>	49 (I)		
(A) Composition of Board	49 (I A)	YES	
(B) Non-Executive Director's Compensation & Disclosures	49 (I B)	YES	
(C) Other Provisions as to Board and Committee	49 (I C)	YES	
(D) Code of Conduct	49 (I D)	YES	
<b>II. Audit Committee</b>	49 (II)		
(A) Qualified & Independent Audit Committee	49 (II A)	YES	
(B) Meeting of Audit Committee	49 (II B)	YES	
(C) Powers of Audit Committee 49 (IIC)	49 (II C)	YES	
(D) Role Of Audit Committee	49 (II D)	YES	
(E) Review of Information by Audit Committee	49 (II E)	YES	
<b>III. Subsidiary Companies</b>	49 (III)	N.A.	
<b>IV. Disclosures</b>	49 (IV)		
(A) Basis of Related Party Transactions	49 (IV A)		
(B) Disclosure of Accounting Treatment	49 (IV B)	YES	
(C) Board Disclosures	49 (IV C)	YES	
(D) Proceeds from Public Issues, Rights Issues, Preferential Issues, etc.	49 (IV D)	YES	
(E) Remuneration of Directors	49 (IV E)	YES	
(F) Management	49 (IV F)	YES	To be Complied in the Annual Report
(G) Shareholders	49 (IV G)	YES	
<b>V. CEO / CFO Certification</b>	49 (V)	YES	To be Complied in the Annual Report
<b>VI. Report on Corporate Governance</b>	49 (VI)	YES	
<b>VII. Compliance</b>	49 (VII)	YES	To be Complied in the Annual Report

Place : New Delhi  
 Dated: 12.01.2015



For Swagtam Trading and Services Limited

For Swagtam Trading & Services Ltd.

*(Signature)*  
 Director/Authorised Signatory

**RajKumar Gupta**  
 Director & Compliance Officer  
 DIN – 00074532