

SWAGTAM TRADING & SERVICES LIMITED

DIRECTOR'S REPORT

Dear Stakeholders,

Your Directors present herewith the 30th Annual Report for the year ended 31.03.2015.

FINANCIAL RESULTS

(In Rupees)

Particulars	For the Year Ended 31.03.2015	For the Year Ended 31.03.2014
Income from Operations	—	73,63,196
Commission from real estate business	15,24,235	—
Surplus from Bullion, Commodities, etc.,	12,22,538	—
Other Income	20,137	110,144
Total Income	27,66,910	74,73,340
Total Expenditure	17,23,003	67,669
Profit/(Loss) before tax	10,43,908	74,05,671
Provision for Tax	2,93,877	14,89,287
Net Profit/(Loss)	7,50,031	59,16,384

FINANCIAL HIGHLIGHTS

During the year under review your Company diversified into the business of real estate & commission / agency and purchase & sale of commodities, bullion, etc.. Company has earned the total revenue of Rs. 27,66,910/-. Net Profit after Tax for the year under consideration is Rs. 7,50,031/-. Your Company hopes to increase its presence in the business in the coming years, which may increase the top line and also its profitability.

DIVIDEND

In view of the requirement of funds, your Directors do not consider it desirable to recommend any dividend in the current year.

SHARE CAPITAL

Authorised share capital of the Company as on 31.03.2015 is Rs. 4,00,00,000 divided into 40,00,000 Equity Shares of Rs. 10/- each and the paid-up capital stands at Rs. 1,19,05,000/-.

Members in their meeting/AGM held on 17th September, 2014 had approved / resolved to create, issue & allot Upto 13,00,000 (Thirteen lacs only) Equity Shares of face value of Rs. 10/- (Rupees Ten) each at a premium of Rs. 25/- per share on Preferential basis to the non-promoters to finance the business activities of the Company.

During the year your company had raised the funds by allotment of only 9,43,000 equity shares of Rs.10/- each at a premium of Rs.25/-, out of the 13,00,000 shares, on preferential basis to non promoters to finance the business activities of the company.

DIRECTORS AND KEY MANAGERIAL PERSON

In accordance with the requirements of the Companies Act, 2013 and the Articles of Association, Mr. Deepak Sharma, Director of the Company, retires by rotation and shown his willingness for re-appointment.

In accordance with the section 196, 197 read with Schedule V of Companies Act, 2013 Ms. Lalita Mittal was appointed as director on 19.07.2014 and subsequently on 08.08.2014 was nominated / designated as Whole Time Director & Chief Financial Officer.

Mr. Raj Kumar Gupta and Mr. Raman Mittal were also appointed as Independent Directors w.e.f. 30.04.2014 and 02.06.2014 respectively. The Resignation of Shri M. M. Saklani and Shri Dharendra Singh Bhandari was accepted w.e.f. 01.08.2014 & 12.01.2015, respectively.

Mr. Sumit Gupta (DIN: 06911742) has been appointed as Additional Director of the Company w.e.f. 29.01.2015.

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Ms. Sheetal Bansal (ACS-38208) who was appointed as Company Secretary cum Compliance Officer of the Company on 29.01.2015, however she resigned from the above post effective 25.05.2015 and thereafter Board Appointed Mrs. Sonia Rani (ACS – 36984) as a Company Secretary cum Compliance Officer of the Company w.e.f. 25.05.2015.

LOANS, GUARANTEES AND INVESTMENTS

Company has not given any kinds of Loan, Guarantee, provided any Security and made any Investments as specified under section 186 of Companies Act, 2013.

PERFORMANCE EVALUATION OF BOARD MEMBERS

With a view to improve performance and effectiveness, Board Members are now increasingly deploying board performance evaluation tools to identify areas of improvement benchmarking themselves against leading practices. Realizing the trends and challenges, the regulators around the world have mandated board evaluations.

MEETING OF THE BOARD

The followings meeting were held during reporting period

Sr. No.	Date of Board Meeting	Sr. No.	Date of Board Meeting
1.	23.04.2014	14.	16.08.2014
2.	30.04.2014	15.	01.09.2014
3.	30.04.2014	16.	16.09.2014
4.	26.05.2014	17.	17.09.2014
5.	30.05.2014	18.	29.09.2014
6.	30.06.2014	19.	30.09.2014
7.	11.07.2014	20.	29.10.2014
8.	11.07.2014	21.	08.12.2014
9.	15.07.2014	22.	12.01.2015
10.	19.07.2014	23.	29.01.2015
11.	01.08.2014	24.	19.03.2015
12.	01.08.2014	25.	30.03.2015
13.	08.08.2014		

DIRECTORS' RESPONSIBILITY STATEMENT

Pursuant to the requirements under the provisions of the Companies Act regarding the Directors' Responsibility Statement, it is hereby confirmed that:

- i. In the preparation of annual accounts for the financial year ended March 31, 2015, the applicable accounting standards have been followed along with proper explanation relating to material departures.
- ii. The Directors have selected such accounting policies and applied them consistently and made judgments and estimates that are reasonable and prudent so as to give a true and fair view of the state of affairs of the Company at the end of the financial year ended March 31st, 2015 and of the profit or loss of the Company for that period.
- iii. The Directors had taken proper and sufficient care for the maintenance of adequate accounting records in accordance with the provisions of this Act for safeguarding the assets of the company and for preventing and detecting fraud and other irregularities.
- iv. The Directors have prepared the annual accounts on a going concern basis.
- v. The director have laid down internal financial controls to be followed by the Company and that such internal financial controls are adequate and operating effectively: and
- vi. The directors have devised proper systems to ensure compliance with the provisions of all applicable laws and that such systems are adequate and operating effectively.

PARTICULARS OF EMPLOYEES

During the year under review, the company does not have any employee who is covered under this Clause.

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PUBLIC DEPOSITS

During the year under review, your company has not invited any fixed deposits from the Public and has not accepted fixed deposits and nothing is outstanding.

STATUTORY AUDITORS

M/s GHOSH KHANNA & CO., Chartered Accountants, FRN – 003366N Statutory Auditors of the Company who were appointed as statutory auditors from 29th Annual General Meeting till 33th Annual General Meeting, subject to ratification at every Annual General Meeting by Members. The Certificate for their eligibility has been received.

SECRETARIAL AUDITOR

The Board has appointed M/s B. Bhushan & Co., Practicing Company Secretary, to conduct Secretarial Audit for the Financial Year 2014-15. The Secretarial Audit Report for the financial year 2014-15 is annexed with Directors' Report. Secretarial Audit Report does not contain any qualification, reservation or adverse remarks.

AUDITORS' REPORT

Auditors' Report in respect of the Financial Statements of 31.03.2015 is self Explanatory and does not warrant any further comments/explanation from the Board of Directors.

LISTING OF SHARES

Equity Shares of the Company are listed on Delhi Stock Exchange Limited (de-recognized by SEBI w.e.f. 19.11.2014) & Calcutta Stock Exchange Limited. Company has complied with the requirements of listing agreements during the period of review. Listing Fee stands paid to CSE for & upto-date (2015-16).

Company has also filed an Application to get all of its shares Listed with Trading Approvals to Bombay Stock Exchanges Ltd and the necessary required compliances & submissions & fee payments have been made.

ALTERATION OF MOA & AOA

Company added / altered/ changed its Main Objects clause in the Memorandum of Association in order to bring the Memorandum of Association and Articles of Association in conformity with the provisions of the Companies Act, 2013 and to enlarge its area of business operations. The Board of Directors had decided to add the Real Estate, Agency business, Education, Jewellery, etc., to the main objects clause of the Memorandum of Association.

Company altered the Articles of Association and adopted New Set of Articles of Association based on Table 'F' of the Companies Act, 2013. Company has also received "Certificate of Registration of the Special Resolution Confirming Alteration of Object Clause(s)" dated 03.11.2014 from Registrar of Companies, Shillong.

SHIFTING OF REGISTERED OFFICE

The registered office of the Company is presently situated in Guwahati, Assam and Corporate Office is in Delhi. Most of the shareholders of the company, business dealings & activities of the Company take place in Delhi. Further Company is into the business(es) of Real Estate, Agency, Education, Jewellery, etc., therefore it has been thought fit & proper to shift the registered office of the company from the State of Assam to Delhi for the purpose of administrative convenience, expansion, diversification, economy, etc. For aforesaid purpose a Petition has been filed to Regional Director, Shillong, for Shifting of Registered office.

PARTICULARS OF CONSERVATION OF ENERGY, TECHNOLOGY ABSORPTION AND FOREIGN EXCHANGE EARNINGS AND OUT GO

Information required to be given pursuant to this Clause are given below :

A. CONSERVATION OF ENERGY

The company did not use any energy during the year under review. Your Company is conscious about its responsibility to conserve energy, power and other energy sources wherever possible. We emphasis towards a safe & clean environment & continue to adhere to all regulatory requirements & guidelines.

B. RESEARCH & DEVELOPMENT

Your Company has not imported any technology for any research and development.

C. TECHNOLOGY ABSORPTION

Your Company has not imported any technology; however, we believe and use information technology extensively in all spheres of our activities to improve efficiency levels.

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D. FOREIGN EXCHANGE EARNINGS AND OUTGO.

Details of Foreign Exchange, Earnings & Outgo are given as below:-

	<u>31.03.2015 (Amt.)</u>	<u>31.03.2014 (Amt.)</u>
Foreign Exchange earning	Nil	Nil
Foreign Exchange outgoing	Nil	Nil

DISCLOSURES

AUDIT COMMITTEE

Composition of Audit Committee :

Sr. No.	Name of the Director	Category of Director
1.	Mr. Raman Mittal	Chairman, Independent Director
2.	Ms. Lalita Mittal	Member, Non – Independent Director
3.	Mr. Raj Kumar Gupta	Member, Independent Director

REMUNERATION AND NOMINATION COMMITTEE

Composition of Remuneration and Nomination Committee :

Sr. No.	Name of the Director	Category of Director
1.	Mr. Raman Mittal	Chairman, Independent Director
2.	Ms. Lalita Mittal	Member, Non – Independent Director
3.	Mr. Raj Kumar Gupta	Member, Independent Director

SHAREHOLDERS / INVESTORS GRIEVANCE COMMITTEE

Composition of Shareholders / Investors Grievance Committee :

Sr. No.	Name of the Director	Category of Director
1.	Ms. Lalita Mittal	Member, Non – Independent Director
2.	Mr. Raman Mittal	Chairman, Independent Director
3.	Mr. Sumit Gupta	Member, Non - Independent (Non Executive) Director

APPRECIATION

Your Directors wish to place on record their deep thanks and gratitude to;

- The Central and State Governments as well as their respective Departments and Development Authorities connected with the business of the Company, Bankers of the Company as well as stock exchanges & other Institutions for their co-operation and continued support.
- The Shareholders & Others for the trust and confidence reposed and to the Customers for their valued patronage.
- The Board also takes this opportunity to express its sincere appreciation for the efforts put in by the officers and employees at all levels in achieving the results and hopes that they would continue their sincere and dedicated endeavour towards attainment of better working results during the current year.

By Order of Board of Directors
Swagtam Trading & Services Limited

Date : 31.07.2015

Place : New Delhi

LALITA MITTAL
Whole Time Director & CFO
DIN: 06928783
Address: F-22, House No. 148, Sector – 3,
Rohini, Delhi – 110085

SWAGTAM TRADING & SERVICES LIMITED

SECRETARIAL AUDIT REPORT

FOR THE FINANCIAL YEAR ENDED on 31.03.2015

[Pursuant to section 204(1) of the Companies Act, 2013 and the rules made thereunder]

To,

The Members,

Swagtam Trading and Services Limited

We have conducted the Secretarial Audit of the compliance of applicable statutory provisions and the adherence to good corporate practices by Swagtam Trading and Services Limited (CIN: L51909AS1984PLC007078) (hereinafter called the company). Secretarial Audit was conducted in a manner that provided us a reasonable basis for evaluating the corporate conducts /statutory compliances and expressing our opinion thereon.

Based on our verification of the books, papers, minute books, forms and returns filed and other records maintained by the company and also the information provided by the Company, its officers, agents and authorized representatives during the conduct of secretarial audit, We hereby report that in our opinion, the company has, during the audit period covering the financial year starting from 01.04.2014 ended on 31.03.2015 complied with the statutory provisions listed hereunder and also that the Company has proper Board-processes and compliance-mechanism in place to the extent, in the manner and subject to the reporting made hereinafter:

We have examined the books, papers, minute books, forms and returns filed and other records maintained by the Company for the financial year ended on 31st March 2015 according to the provisions of:

- (i) The Companies Act, 2013 (the Act) and the rules made thereunder;
- (ii) The Securities Contracts (Regulation) Act, 1956 ('SCRA') and the rules made thereunder;
- (iii) The Depositories Act, 1996 and the Regulations and Bye-laws framed thereunder;
- (iv) Foreign Exchange Management Act, 1999 and the rules and regulations made thereunder to the extent of Foreign Direct Investment, Overseas Direct Investment and External Commercial Borrowings;
- (v) The following Regulations and Guidelines prescribed under the Securities and Exchange Board of India Act, 1992 ('SEBI Act') :-
 - (a) The Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
 - (b) The Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992;
 - (c) The Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009;
 - (d) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Companies Act and dealing with the clients/Members;
- (vi) and other laws applicable on the company.

We report that during the year under review the Company has complied with the provisions of the Act, Rules, Regulations, Guidelines, Standards, etc. mentioned above.

We have also examined compliance with the applicable clauses of the Listing Agreements entered into by the Company with Delhi Stock Exchange and Calcutta Stock Exchange, the trading platform is not available with the Calcutta Stock Exchange and recognition of Delhi Stock Exchange was withdrawn by the SEBI on 19th November 2014.

We further report that, there were no actions/events in pursuance of :

- (a) The Securities and Exchange Board of India (Buyback of Securities) Regulations, 1998;
- (b) The Securities and Exchange Board of India (Employee Stock Option Scheme and Employee Stock Purchase Scheme) Guidelines, 1999;

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- (c) The Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- (d) The Securities and Exchange Board of (Delisting of Equity Shares) Regulations, 2009; requiring compliance thereof by the company during the financial year and the Secretarial Standards issued by The Institute of Company Secretaries of India were not applicable during the year.

We further report that based on information provided by the company, its officers and authorized representatives during the conduct of the audit, and also on the review of quarterly compliance reports by officials of the company taken on records by the Board of Directors of the company, in our opinion, adequate systems and processes and control mechanism exist in the company to monitor and ensure compliance with applicable laws.

We further report that the compliance by the company of applicable financial laws, like direct and Indirect tax laws, has not been reviewed in this Audit since the same have been subject to review by statutory financial audit and other designated professionals.

The Board of Directors of the Company is duly constituted with proper balance of Executive Directors, Non-Executive Directors and Independent Directors. The changes in the composition of the Board of Directors that took place during the period under review were carried out in compliance with the provisions of the Act.

Adequate notice is given to all directors to schedule the Board Meetings, agenda and detailed notes on agenda were sent at least seven days in advance, and a system exists for seeking and obtaining further information and clarifications on the agenda items before the meeting and for meaningful participation at the meeting.

As per the minutes of the meetings duly recorded and signed by the director/directors, the decisions of the Board were unanimous and no dissenting views have been recorded.

We further report that there are adequate systems and processes in the company commensurate with the size and operations of the company to monitor and ensure compliance with applicable laws, rules, regulations and guidelines.

We further report that the company allotted only 943,000 equity shares of Rs. 10/- each at a premium of Rs.25/- each on preferential basis to Non –Promoters, out of the resolution passed by the shareholders in the AGM held on 17.09.2015 for issue and allot upto 1300,000 equity shares of Rs.10/- each at a premium of Rs.25/- each.

We further report that during the audit period the company has not indulge in any matter related to the following:-

- (i) Public/ Right/ Debentures/ Sweat Equity, etc.
- (ii) Redemption / buy-back of securities
- (iii) Major decisions taken by the members in pursuance to section 180 of the Companies Act, 2013
- (iv) Merger / amalgamation / reconstruction, etc.
- (v) Foreign technical collaborations etc.

Place: New Delhi
Date : 31.07.2015

For **B.Bhushan & Co.**
(Company Secretaries)

1195, Gali Babu Ram,
Sita Ram Bazar,
Delhi – 110006

Bharat Bhushan
(Proprietor)
M. No.: A31951
COP : 14469

SWAGTAM TRADING & SERVICES LIMITED

Form No. MGT-9

EXTRACT OF ANNUAL RETURN

as on the financial year ended on 31st March 2015

I. REGISTRATION AND OTHER DETAILS:

i) CIN	L51909AS1984PLC007078
ii) Registration Date	31st December, 1984
iii) Name of the Company	Swagtam Trading & Services Limited
iv) Category / Sub-Category of the Company	Public Company/ Limited by shares
v) Address of the Regd. Office	Girish Chandra Bardalai Path, Bamunimaindan, Guwahati, Assam- 781021
vi) contact details	
a.) Corp. Office	R-489, GF-A, Ground Floor, New Rajinder Nagar, New Delhi - 110060
b.) Website	www.swagtam.com
c.) Email i.d.	swagtam1984@gmail.com
d.) Phone No.	011 - 42475489
vii) Whether listed company	YES
	1) Delhi Stock Exchange Ltd. (Derecognised by SEBI on 19.11.2014)
	2) Calcutta Stock Exchange Ltd.
viii) Detail of RTA	
a.) Name	Alankit Assignments Limited
b.) Address	R. O. IE/13, Alankit Heights, Jhandewalan Extn., New Delhi – 55 C.O. : 205-208, Anarkali Complex, Jhandewalan Extn., New Delhi – 55
c.) Contact	011-42541234, 011-42541960 rta@alankit.com

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

Sl. No.	Name and Description of main products / services	NIC Code of the Product / service	% to total turnover of the company
1	Real Estate		55.00%
2	Bullion, Commodities, etc.		44.20%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

S. No.	Name and Address of the Company	CIN / GLN	Holding / Subsidiary	% of shares held	Applicable Section
	NIL				

IV. SHARE HOLDING PATTERN

(Equity Share Capital Break up as percentage of Total Equity)

i) Category-wise Share Holding

Category Code	Category of Shareholder	No. of Shares held at the beginning of the year	% of total no. of shares	No. of Shares held at the end of the year	% of total no. of shares	% Change during the year
(A)	Shareholding of Promoter and Promoter Group²					
1	Indian					
(a)	Individuals/ Hindu Undivided Family	0		0		
(b)	Central Government/ State Government(s)					
(c)	Bodies Corporate	63500	25.66	49200	4.13	[1.20]
(d)	Financial Institutions/ Banks					
(e)	Any Others(Specify)					
(e-i)						
(e-ii)						
	Sub Total(A)(1)	63500	25.66	49200	4.13	[1.20]
2	Foreign					
a	Individuals (Non-Residents Individuals/Foreign Individuals)	0		0		
b	Bodies Corporate	0		0		
c	Institutions	0		0		
d	Qualified Foreign Investor	0		0		
e	Any Others(Specify)	0		0		
e-i		0		0		
e-ii						
	Sub Total(A)(2)	0		0		
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	63500	25.66	49200	4.13	[1.20]

(B) Public shareholding

1 Institutions

(a)	Mutual Funds/ UTI	0		0		
(b)	Financial Institutions / Banks	0		0		
(c)	Central Government/ State Government(s)	0		0		
(d)	Venture Capital Funds	0		0		

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(e)	Insurance Companies	0		0		
(f)	Foreign Institutional Investors	0		0		
(g)	Foreign Venture Capital Investors	0		0		
(h)	Qualified Foreign Investor	0		0		
(i)	Any Other (specify)	0		0		
(i-ii)						
(i-ii)						
	Sub-Total (B)(1)	0		0		
B 2	Non-institutions					
(a)	Bodies Corporate	120499	48.69	50000	4.20	[5.92]
(b)	Individuals					
I	i. Individual shareholders holding nominal share capital up to Rs 1 lakh	63501	25.65	234976	19.74	14.40
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	0	0	856324	71.93	71.93
(c)	Qualified Foreign Investor					
(d)	Any Other (specify)	0		0		
(d-i)						
(d-ii)						
	Sub-Total (B)(2)	184000	74.34	1141300	95.87	80.41
(B)	Total Public Shareholding (B)= (B)(1)+(B)(2)	184000	74.34	1141300	95.87	80.41
	TOTAL (A)+(B)	247500	100.00	1190500	100.00	79.21
(C)	Shares held by Custodians and against which Depository Receipts have been issued					
1	Promoter and Promoter Group					
2	Public					
	Sub-Total (C)	0		0		
	GRAND TOTAL (A)+(B)+(C)	247500	100.00	1190500	100.00	79.21

Note: 943,000 equity shares allotted in Preferential Issue on 30.09.2014

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(ii) Shareholding of Promoters

Sl. No.	Shareholder's Name	Shareholding at the beginning of the year		Shareholding at the end of the year		%change in shareholding during the year
		No. of Shares	% of Shares Pledged / encumbered	No. of Shares	% of Shares Pledged / encumbered	
1	Duncan Macneill Construction & Developers Ltd.	12000	0	12000	0	0
2	Buckingham Industries Ltd	12000	0	12000	0	0
3	Jeewan Commercial Ltd.	12000	0	12000	0	0
4	ACEC India Pvt Ltd	1200	0	1200	0	0
5	East West Properties Pvt Ltd	12000	0	12000	0	0
6	Pashupatinath Holdings Pvt Ltd	2100	0	0	0	[0.18]
7	Assam Company Ltd.	12200	0	0	0	[1.02]
	TOTAL	63500		49200		

(iii) Change in Promoters' Shareholding

Sl. No.	Name of Promoter	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	Assam Company Ltd.				
	At the Beginning of the year	12200	4.93 % (12200*100 / 247500)	12200	4.93 % (12200*100 / 247500)
	Decrease – Date of Sale -25.06.2014	12200	4.93 %	0	0
	At the End of the year	0	0	0	0

Sl. No.	Name of Promoter	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
2	Pashupatinath Holdings Pvt. Ltd.				
	At the Beginning of the year	2100	0.18 % (2100*100 / 1190500)	2100	0.18 (2100*100 / 1190500)
	Decrease – Date of Sale : 22.12.2014	2100	0.18 %	0	0
	At the End of the year	0	0	0	0

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(iv) Shareholding Pattern of TOP TEN Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

Sl. No.	Name of Shareholder	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	Richa Agarwal				
	At the Beginning of the year	0	0	0	0
	Increase - Shares Allotted in Preferential Issue on 30.09.2014	60000	5.04	60000	5.04
	At the End of the year	60000	5.04	60000	5.04

Sl. No.	Name of Shareholder	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
2	Veena Gupta				
	At the Beginning of the year	0	0	0	0
	Increase - Shares Allotted in Preferential Issue on 30.09.2014	50000	4.20	50000	4.20
	At the End of the year	50000	4.20	50000	4.20

Sl. No.	Name of Shareholder	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
3	Sumit Gupta				
	At the Beginning of the year	0	0	0	0
	Increase - Shares Allotted in Preferential Issue on 30.09.2014	45000	3.78	45000	3.78
	At the End of the year	45000	3.78	45000	3.78

Sl. No.	Name of Shareholder	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
4	Rajesh Gupta				
	At the Beginning of the year	0	0	0	0
	Increase - Shares Allotted in Preferential Issue on 30.09.2014	40000	3.36	40000	3.36
	At the End of the year	40000	3.36	40000	3.36

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Sl. No	Name of Shareholder	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
5	Seema Gupta				
	At the Beginning of the year	0	0	0	0
	Increase - Shares Allotted in Preferential Issue on 30.09.2014	40000	3.36	40000	3.36
	At the End of the year	40000	3.36	40000	3.36

Sl. No	Name of Shareholder	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
6	Sunita Rani				
	At the Beginning of the year	0	0	0	0
	Increase - Shares Allotted in Preferential Issue on 30.09.2014	37500	3.15	37500	3.15
	At the End of the year	37500	3.15	37500	3.15

Sl. No	Name of Shareholder	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
7	Amit Gupta				
	At the Beginning of the year	0	0	0	0
	Increase - Shares Allotted in Preferential Issue on 30.09.2014	37100	3.12	37100	3.12
	At the End of the year	37100	3.12	37100	3.12

Sl. No	Name of Shareholder	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
8	Rakesh Kumar Garg				
	At the Beginning of the year	0	0	0	0
	Increase - Shares Allotted in Preferential Issue on 30.09.2014	31000	2.60	31000	2.60
	At the End of the year	31000	2.60	31000	2.60

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Sl. No	Name of Shareholder	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
9	Aayush Aggarwal				
	At the Beginning of the year	0	0	0	0
	Increase - Shares Allotted in Preferential Issue on 30.09.2014	30000	2.52	30000	2.52
	At the End of the year	30000	2.52	30000	2.52

Sl. No	Name of Shareholder	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
10	Anita Aggarwal				
	At the Beginning of the year	0	0	0	0
	Increase - Shares Allotted in Preferential Issue on 30.09.2014	30000	2.52	30000	2.52
	At the End of the year	30000	2.52	30000	2.52

(v) Shareholding of Directors and Key Managerial Personnel:

Sl. No	Name of Director/KMP	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	Sumit Gupta Director – w.e.f. 29.01.2015				
	At the Beginning of the year	0	0	0	0
	Increase - Shares Allotted in Preferential Issue on 30.09.2014	45000	3.78	45000	3.78
	At the End of the year	45000	3.78	45000	3.78

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment *Amt. in Rs.*

	Secured Loans excluding deposits	Unsecured Loans / Advances	Deposits
Indebtedness at the beginning of the financial year	NIL		NIL
i) Principal Amount		91,74,033	
ii) interest due but not paid		0	
iii) interest accrued but not due.		0	
Total (i+ii+iii)	NIL	91,74,033	NIL

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Change in Indebtedness during the financial year			
* Addition		91,07,423	
* Reduction			
Net Change	NIL	91,07,423	NIL
Indebtedness at the end of the Financial Year	NIL		NIL
i) Principal Amount		66,610	
ii) Interest due but not paid		0	
iii) Interest accrued but not due		0	
Total (i+ii+iii)	NIL	66,610	NIL

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager :

Sl. no	Particulars of Remuneration	Total Amount (Rs.)	
		Per Month	Per Year
	LALITA MITTAL (WTD & CFO)		
1	Gross salary		—
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	20000	160000
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	0	
	(c) profit in lieu of salary under section 17(3) income tax Act, 1961	0	
2	Stock Option	0	
3	Sweat Equity	0	
4	Commission - as % of Profit - Other, Specify.....	0	
5	Others, please specify	0	
	Total (A)	20000	160000
	Ceiling as per the Act		

B. REMUNERATION TO OTHER DIRECTORS:

Sl. no	Particulars of Remuneration	Total Amount	
		Per Month	Per Year
1	Independent Director	NIL	NIL
	*Fee for attending Board Committee Meeting		
	* Commission		
	*Other, Please Specify		
	Total (1)	NIL	NIL

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2	Other Non-Executive Directors *Fee for attending board Committee Meeting *Commission *Other, Please Specify	NIL	NIL
	Total (2)	NIL	NIL
	Total (B)=(1+2)	NIL	NIL
	Total Managerial Remuneration Overall Ceiling as per the Act	NIL	NIL

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

Sl. no		Total Amount (Rs.)
1	Company Secretary	91620

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act		Appeal made, if any (Details)
A. COMPANY			
Penalty		NIL	NIL
Punishment		NIL	NIL
Compounding		NIL	NIL
B. DIRECTOR			
Penalty		NIL	NIL
Punishment		NIL	NIL
Compounding		NIL	NIL
C. OTHER OFFICERS IN DEFAULT			
Penalty		NIL	NIL
Punishment		NIL	NIL
Compounding		NIL	NIL