

**Introductory sub-table (I)(a)  
Statement showing Shareholding Pattern**

Name of the Company: **SWAGTAM TRADING & SERVICES LIMITED**

Scrip Code- DSE, Name of the scrip, class of security: **Equity**

Quarter ended: **30-09-2014**

Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Outstanding convertible securities:-</b>	<b>No. of outstanding securities</b>	<b>As a % of total no. of outstanding convertible securities</b>	<b>As a % of total no. of shares of the company, assuming full conversion of the convertible securities</b>
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Warrants:-</b>	<b>No. of warrants</b>	<b>As a % of total no. of warrants</b>	<b>As a % of total no. of shares of the company, assuming full conversion of warrants</b>
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total paid-up capital of the company, assuming full conversion of warrants and convertible securities</b>	<b>11,90,500</b>		

For Swagtam Trading & Services Ltd.

Director/Authorised Signatory

**Statement Showing Shareholding Pattern**

**Table (IXa)**

Category code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	As a percentage (IX)= (VIII)/(IV)*100
					As a percentage of (A+B) <sup>1</sup>	As a percentage of (A+B+C)		
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
(A)	Shareholding of Promoter and Promoter Group <sup>2</sup>							
<b>1</b>	<b>Indian</b>							
(a)	Individuals/ Hindu Undivided Family	0	0	0	0.00	0.00	0	0.00
(b)	Central Government/ State Government(s)				0.00	0.00		0.00
(c)	Bodies Corporate	6	51300	0	4.31	4.31	0	0.00
(d)	Financial Institutions/ Banks				0.00	0.00		0.00
(e)	Any Others(Specify)				0.00	0.00		0.00
(e-i)					0.00	0.00		0.00
(e-ii)					0.00	0.00		0.00
	<b>Sub Total(A)(1)</b>	<b>6</b>	<b>51300</b>	<b>0</b>	<b>4.31</b>	<b>4.31</b>	<b>0</b>	<b>0.00</b>
<b>2</b>	<b>Foreign</b>							
a	Individuals (Non-Residents)							
	Individuals/ Foreign Individuals	0	0	0	0.00	0.00		0.00
b	Bodies Corporate	0	0	0	0.00	0.00		0.00
c	Institutions	0	0	0	0.00	0.00		0.00
d	Qualified Foreign Investor	0	0	0	0.00	0.00		0.00
e	Any Others(Specify)	0	0	0	0.00	0.00		0.00
e-i		0	0	0	0.00	0.00		0.00
e-ii								
	<b>Sub Total(A)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
	<b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)</b>	<b>6</b>	<b>51300</b>	<b>0</b>	<b>4.31</b>	<b>4.31</b>	<b>0</b>	<b>0.00</b>
<b>(B)</b>	<b>Public shareholding</b>							
<b>1</b>	<b>Institutions</b>							
(a)	Mutual Funds/ UTI	0	0	0	0	0	0	0
(b)	Financial Institutions / Banks	0	0	0	0	0	0	0

For Swaglam Trading & Services Ltd.

Director/Authorised Signatory

(c)	Central Government/ State Government(s)	0	0	0	0	0	0	0	0	0	0
(d)	Venture Capital Funds	0	0	0	0	0	0	0	0	0	0
(e)	Insurance Companies	0	0	0	0	0	0	0	0	0	0
(f)	Foreign Institutional Investors	0	0	0	0	0	0	0	0	0	0
(g)	Foreign Venture Capital Investors	0	0	0	0	0	0	0	0	0	0
(h)	Qualified Foreign Investor	0	0	0	0	0	0	0	0	0	0
(i)	Any Other (specify)	0	0	0	0	0	0	0	0	0	0
(i-ii)											
(i-ii)											
	<b>Sub-Total (B)(1)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>B 2</b>	<b>Non-institutions</b>										
(a)	Bodies Corporate	2	50000	0	4.20	0	4.20	0	4.20	0	0.00
(b)	Individuals										
I	i. Individual shareholders holding nominal share capital up to Rs 1 lakh	492	234976	34850	19.74	0	19.74	0	19.74	0	0.00
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	38	854224	0	71.75	0	71.75	0	71.75	0	0.00
(c)	Qualified Foreign Investor	0	0	0	0	0	0	0	0	0	0.00
(d)	Any Other (specify)	0	0	0	0	0	0	0	0	0	0.00
(d-i)											
(d-ii)											
	<b>Sub-Total (B)(2)</b>	<b>532</b>	<b>1139200</b>	<b>34850</b>	<b>95.69</b>	<b>0</b>	<b>95.69</b>	<b>0</b>	<b>95.69</b>	<b>0</b>	<b>0.00</b>
<b>(B)</b>	<b>Total Public Shareholding (B)= (B)(1)+(B)(2)</b>	<b>532</b>	<b>1139200</b>	<b>34850</b>	<b>95.69</b>	<b>0</b>	<b>95.69</b>	<b>0</b>	<b>95.69</b>	<b>0</b>	<b>0.00</b>
	<b>TOTAL (A)+(B)</b>	<b>538</b>	<b>1190500</b>	<b>34850</b>	<b>100.00</b>	<b>0</b>	<b>100.00</b>	<b>0</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>
<b>(C)</b>	<b>Shares held by Custodians and against which Depository Receipts have been issued</b>										
1	Promoter and Promoter Group								0.00	0	0.00
2	Public								0.00	0	0.00
	<b>Sub-Total (C)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>538</b>	<b>1190500</b>	<b>34850</b>	<b>100.00</b>	<b>0</b>	<b>100.00</b>	<b>0</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>

For Swagatam Trading & Services Ltd.

Director/Authorised Signatory

(D)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder (ii)	Details of Shares held		Encumbered shares (*)		Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A) + (B) + (C)	No.	As a percentage (A)+(B)+(C) of sub-clause (D)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(i)		(iii)	(iv)	(v)	(vi) = (v)/(iii)*100	(viii)	(ix)	(x)	(xi)	(xii)
1	Duncan Macneill Construction & Developers Ltd.	12000	1.01	0	0	0	0	0	0	0
2	Buckingham Industries Ltd	12000	1.01	0	0	0	0	0	0	0
3	Jeevan Commercial Ltd.	12000	1.01	0	0	0	0	0	0	0
4	ACEC India Pvt Ltd	1200	0.10	0	0	0	0	0	0	0
5	Pashupatinath Holdings Pvt Ltd	2100	0.18	0	0	0	0	0	0	0
6	East West Properties Pvt Ltd	12000	1.01	0	0	0	0	0	0	0
<b>TOTAL</b>		<b>51300</b>	<b>4.31</b>	-	-	-	-	-	-	-

(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

For Swaglam Trading & Services Ltd.

Director/Authorised Signatory

**(D)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares**

Sr. No.	Folio No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
					Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	588	RICHA AGGARWAL	60000	5.04	0	NIL	0	NIL	5.04
2	597	VEENA GUPTA	50000	4.20	0	NIL	0	NIL	4.20
3	596	SUMIT GUPTA	45000	3.78	0	NIL	0	NIL	3.78
4	587	RAJESH GUPTA	40000	3.36	0	NIL	0	NIL	3.36
5	593	SEEMA GUPTA	40000	3.36	0	NIL	0	NIL	3.36
6	517	SUNITA RANI	37500	3.15	0	NIL	0	NIL	3.15
7	579	AMIT GUPTA	35000	2.94	0	NIL	0	NIL	2.94
8	522	RAKESH KUMAR GARG	31000	2.60	0	NIL	0	NIL	2.60
9	578	AAYUSH AGGARWAL	30000	2.52	0	NIL	0	NIL	2.52
10	580	ANITA AGGARWAL	30000	2.52	0	NIL	0	NIL	2.52
11	586	RAJAN MITTAL	30000	2.52	0	NIL	0	NIL	2.52
12	584	PAAYAL	30000	2.52	0	NIL	0	NIL	2.52
13	594	SHIVANI GARG	30000	2.52	0	NIL	0	NIL	2.52
14	590	SAROJ RANI AGGARWAL	30000	2.52	0	NIL	0	NIL	2.52
15	595	SHWETA GUPTA	30000	2.52	0	NIL	0	NIL	2.52

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16	598	WELDON FINCAP PVT. LTD.	25000	2.10	0	NIL	0	NIL	2.10
17	583	MANISH AGGARWAL HUF	25000	2.10	0	NIL	0	NIL	2.10
18	585	PRINCEP TRADE AND FINANCE PVT. LTD.	25000	2.10	0	NIL	0	NIL	2.10
19	582	MANISH AGGARWAL	25000	2.10	0	NIL	0	NIL	2.10
20	510	GAURAV MOHAN	20000	1.68	0	NIL	0	NIL	1.68
21	202	SHRESTH BANSAL	15100	1.27	0	NIL	0	NIL	1.27
22	197	AJAY BINDAL	15100	1.27	0	NIL	0	NIL	1.27
23	199	SUNITA BINDAL	15100	1.27	0	NIL	0	NIL	1.27
24	201	MEENU BANSAL	15100	1.27	0	NIL	0	NIL	1.27
25	200	HARSH VARDHAN BANSAL	15100	1.27	0	NIL	0	NIL	1.27
26	513	JAGBIR SINGH	15000	1.26	0	NIL	0	NIL	1.26
27	508	VIKAS JINDAL	12200	1.02	0	NIL	0	NIL	1.02
28	526	SHIVANI SODHI	12024	1.01	0	NIL	0	NIL	1.01
		T O T A L---->	783224	65.79					65.79

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(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of warrants			Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class		
1	Amit Gupta	35,000	2.94	0	NIL	0	NIL	2.94	
2	Richa Aggarwal	60,000	5.04	0	NIL	0	NIL	5.04	
3	Sumit Gupta	45,000	3.78	0	NIL	0	NIL	3.78	
4	Sunita Rani	37,500	3.15	0	NIL	0	NIL	3.15	
5	Veena Gupta	50,000	4.20	0	NIL	0	NIL	4.20	
6	Rakesh Kumar Garg	31,000	2.60	0	NIL	0	NIL	2.60	
	<b>TOTAL</b>	<b>258500</b>	<b>21.71</b>	<b>0</b>	<b>NIL</b>	<b>0</b>	<b>NIL</b>	<b>21.71</b>	

For Swagfah Trading & Services Ltd.

Director/Authorised Signatory

**(D)(d) Statement showing details of locked-in shares**

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above)
1	Aarti Mittal	100	0.04
2	Ajay Bindal	100	0.04
3	Amit Mittal	100	0.04
4	Asha Sabharwal	100	0.04
5	Deepak Mittal	100	0.04
6	Deepak Mittal HUF	100	0.04
7	Gaurav Mohan	10000	4.04
8	Govind Aggarwal	100	0.04
9	Harsh Vardhan Bansal	100	0.04
10	Jagbir Singh	7500	3.03
11	Jyoti Jain	6200	2.51
12	Krishan Kumar	100	0.04
13	Mayank Aggarwal	50	0.02
14	Meenu Bansal	100	0.04
15	Mohinder Sabharwal	100	0.04
16	Mukesh Mittal	100	0.04
17	Naresh Kumar	50	0.02
18	Nikita Jain	100	0.04
19	Nishant Sabharwal	100	0.04
20	Nitin Aggarwal	100	0.04
21	Rajni Jain	100	0.04
22	Rakesh Kumar Garg	1000	0.40
23	Sanjay Jain	100	0.04
24	Sanjay Jain & Sons HUF	100	0.04
25	Shilpi Mittal	100	0.04
26	Shresth Bansal	100	0.04
27	Shruti Mittal	100	0.04
28	Sumita Bindal	100	0.04
29	Sumita Rani	7500	3.03
30	Swati Sabharwal	100	0.04
31	Tarun Aggarwal	50	0.02
32	Tarun Jain	100	0.04
33	Ujwal	100	0.04
	<b>TOTAL</b>	<b>34850</b>	<b>14.08</b>

% out of 247500 shares originally listed

For Swaglam Trading & Services Ltd.

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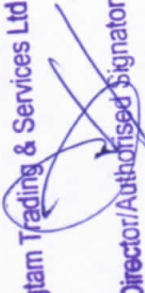
(ID)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above}
1				0.00
2				0.00
3				0.00
4				0.00
5				0.00
6				0.00
7				0.00
8				0.00
9				0.00
<b>TOTAL</b>		<b>0</b>	<b>0</b>	<b>0.00</b>

For Swaglam Trading & Services Ltd.  
  
Director/Authorised Signatory

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1				0.00
2				0.00
3				0.00
4				0.00
5				0.00
6				0.00
7				0.00
8				0.00
9				0.00
<b>TOTAL</b>			<b>0</b>	<b>0.00</b>

For Swagtam Trading & Services Ltd.  
  
 Director/Authorised Signatory