

# SWAGTAM TRADING & SERVICES LIMITED

105, LSC, Pocket K & L Market, Sarita Vihar, New Delhi – 110076

Tel :011-4172 6525 E-mail : swagtam1984@gmail.com

O/C

19<sup>th</sup> July, 2014

To  
The Manager  
Listing Department  
Delhi Stock Exchange Limited  
DSE House, Asaf Ali Road,  
New Delhi-110002

**Sub : SHARE-HOLDING PATTERN Quarter ended 30.06.2014**

Dear Sir/Madam,

We submit & enclose the following:-

1. Share-holding Pattern as at 30<sup>th</sup> June 2014  
Authorised Capital and Paid-up Capital stands at Rs. 125 Lacs and  
Rs. 24.75 Lacs respectively consisting of 2,47,500 Equity Shares of Rs. 10/-
2. Complete List of Directors with PAN and DIN and Address

Kindly take it on record, do the needful and oblige.

Kindly let us know if we have to submit any other information, papers, etc.

For **Swagtam Trading & services Limited**  
For Swagtam Trading & Services Ltd.

**Director / Auth. Sign.**  
Director / Authorised Signatory

Encl : As Above



**Introductory sub-table (I)(a)**  
**Statement showing Shareholding Pattern**

Name of the Company: **SWAGTAM TRADING & SERVICES LIMITED**

Scrip Code- DSE, Name of the scrip, class of security: **Equity**

Quarter ended: **30-06-2014**

Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Outstanding convertible securities:-</b>	<b>No. of outstanding securities</b>	<b>As a % of total no. of outstanding convertible securities</b>	<b>As a % of total no. of shares of the company, assuming full conversion of the convertible securities</b>
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Warrants:-</b>	<b>No. of warrants</b>	<b>As a % of total no. of warrants</b>	<b>As a % of total no. of shares of the company, assuming full conversion of warrants</b>
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total paid-up capital of the company, assuming full conversion of warrants and convertible securities</b>	<b>247500</b>		



**For Swagtam Trading & Services Ltd.**

**Director/Authorised Signatory**



**Statement Showing Shareholding Pattern**

Category code	Category of Shareholder	Number of Shareholders	Table (IXa)				Shares Pledged or otherwise encumbered	
			Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Number of shares	As a percentage
			(IV)	(V)	As a percentage of (A+B) <sup>1</sup>	(A+B+C)		
(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX) = (VIII)/(IV) * 100		
(I)	(II)							
(A)	Shareholding of Promoter and Promoter Group <sup>2</sup>							
1	Indian							
(a)	Individuals/ Hindu Undivided Family	0	0	0	0.00	0.00	0	0.00
(b)	Central Government/ State Government(s)				0.00	0.00		0.00
(c)	Bodies Corporate	6	51300	0	20.73	20.73	0	0.00
(d)	Financial Institutions/ Banks				0.00	0.00		0.00
(e)	Any Others(Specify)				0.00	0.00		0.00
(e-i)					0.00	0.00		0.00
(e-ii)					0.00	0.00		0.00
	<b>Sub Total(A)(1)</b>	<b>6</b>	<b>51300</b>	<b>0</b>	<b>20.73</b>	<b>20.73</b>	<b>0</b>	<b>0.00</b>
2	Foreign							
a	Individuals (Non-Residents)							
	Individuals/ Foreign Individuals)	0	0	0	0.00	0.00	0	0.00
b	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
c	Institutions	0	0	0	0.00	0.00	0	0.00
d	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
e	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
e-i		0	0	0	0.00	0.00	0	0.00
e-ii								
	<b>Sub Total(A)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
	<b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)</b>	<b>6</b>	<b>51300</b>	<b>0</b>	<b>20.73</b>	<b>20.73</b>	<b>0</b>	<b>0.00</b>





Public shareholding										
<b>I</b>	<b>Institutions</b>									
(a)	Mutual Funds/ UTI	0	0	0	0	0	0	0	0	0
(b)	Financial Institutions / Banks	0	0	0	0	0	0	0	0	0
(c)	Central Government/ State Government(s)	0	0	0	0	0	0	0	0	0
(d)	Venture Capital Funds	0	0	0	0	0	0	0	0	0
(e)	Insurance Companies	0	0	0	0	0	0	0	0	0
(f)	Foreign Institutional Investors	0	0	0	0	0	0	0	0	0
(g)	Foreign Venture Capital Investors	0	0	0	0	0	0	0	0	0
(h)	Qualified Foreign Investor	0	0	0	0	0	0	0	0	0
(i)	Any Other (specify)	0	0	0	0	0	0	0	0	0
(i-ii)										
(i-ii)										
	<b>Sub-Total (B)(1)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>B 2</b>	<b>Non-institutions</b>									
(a)	Bodies Corporate	0	0	0	0	0	0.00	0.00	0	0.00
(b)	Individuals									
I	i. Individual shareholders holding nominal share capital up to Rs 1 lakh	460	171876	0	69.44	69.44			0	0.00
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	2	24324	0	9.83	9.83			0	0.00
(c)	Qualified Foreign Investor	0	0	0	0	0	0	0	0	0.00
(d)	Any Other (specify)									
(d-i)										
(d-ii)										
	<b>Sub-Total (B)(2)</b>	<b>462</b>	<b>196200</b>	<b>0</b>	<b>79.27</b>	<b>79.27</b>			<b>0</b>	<b>0.00</b>
<b>(B)</b>	<b>Total Public Shareholding (B)= (B)(1)+(B)(2)</b>	<b>462</b>	<b>196200</b>	<b>0</b>	<b>79.27</b>	<b>79.27</b>			<b>0</b>	<b>0.00</b>
	<b>TOTAL (A)+(B)</b>	<b>468</b>	<b>247500</b>	<b>0</b>	<b>100.00</b>	<b>100.00</b>			<b>0</b>	<b>0.00</b>







(D)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder (II)	Details of Shares held		Encumbered shares (*)		Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
(I)		(III)	(IV)	(V)	(VI) = (V)/(III) * 100	(VII)	(VIII)	(IX)	(X)	(XII)
1	Duncan Macneill Construction & Developers Ltd.	12000	4.85	0	0	0	0	0	0	0
2	Buckingham Industries Ltd	12000	4.85	0	0	0	0	0	0	0
3	Jeewan Commercial Ltd	12000	4.85	0	0	0	0	0	0	0
4	ACEC India Pvt Ltd	1200	0.48	0	0	0	0	0	0	0
5	Pashupatinath Holdings Pvt Ltd	2100	0.85	0	0	0	0	0	0	0
6	East West Properties Pvt Ltd	12000	4.85	0	0	0	0	0	0	0
<b>TOTAL</b>		<b>51300</b>	<b>20.73</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>



(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

**(D)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares**

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
	AS PER ANNEXURE	151674	61.28	0	NIL	0	NIL	151674
				0	NIL	0	NIL	
				0	NIL	0	NIL	
<b>TOTAL</b>		<b>151674</b>	<b>61.28</b>	<b>0</b>	<b>NIL</b>	<b>0</b>	<b>NIL</b>	<b>151674</b>





**SWAGTAM TRADING & SERVICES LIMITED**  
**ANNEXURE TO SHARE-HOLDING PATTERN AS AT 30.06.2014**  
**PUBLIC SHARE-HOLDING ---- INDIVIDUAL ----- 1.00% & ABOVE**

(i)(c)(i)

S. NO.	NAME OF SHAREHOLDER	SHARES	AMOUNT	%
1	VIKAS JINDAL	12200	122000	4.93
2	SHIVANI SODHI	12124	121240	4.90
3	GAURAV MOHAN	10000	100000	4.04
4	MADHU SHARMA	10000	100000	4.04
5	REKHA KUMARI	10000	100000	4.04
6	PRATIBHA SHARMA	9850	98500	3.98
7	MEENAKSHI MALHOTRA	9200	92000	3.72
8	ANSHUL JAIN	7500	75000	3.03
9	GARIMA SINGHVI	7500	75000	3.03
10	JAGBIR SINGH	7500	75000	3.03
11	PANKAJ AGGARWAL	7500	75000	3.03
12	SUNITA RANI	7500	75000	3.03
13	RAJESH GOEL	6900	69000	2.79
14	SARGAM AGGARWAL	6700	67000	2.71
15	JYOTI JAIN	6200	62000	2.51
16	SAMEER JAIN HUF	6000	60000	2.42
17	BUMBI PRAGABHAI	5000	50000	2.02
18	MUKESH GOEL HUF	5000	50000	2.02
19	SUSHILA DEVI AGGARWAL	5000	50000	2.02
		<b>151674</b>	<b>1516740</b>	<b>61.28</b>





**(D)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company**

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
	Nil	0	0.00	0	0	0	0	0
	<b>TOTAL</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>NIL</b>	<b>0</b>	<b>NIL</b>	<b>0</b>



**(I)(d) Statement showing details of locked-in shares**

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1			0.00
2			0.00
3			0.00
4			0.00
5			0.00
6			0.00
7			0.00
8			0.00
9			0.00
<b>TOTAL</b>		<b>0</b>	<b>0.00</b>





(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1				0.00
2				0.00
3				0.00
4				0.00
5				0.00
6				0.00
7				0.00
8				0.00
9				0.00
<b>TOTAL</b>				<b>0.00</b>



(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above}
1				0.00
2				0.00
3				0.00
4				0.00
5				0.00
6				0.00
7				0.00
8				0.00
9				0.00
<b>TOTAL</b>			<b>0</b>	<b>0.00</b>

For Swagatam Trading & Services Ltd.

Director/Authorised Signatory





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		<b>151674</b>	<b>1516740</b>	<b>61.28</b>

