

SWAGTAM TRADING & SERVICES LTD

22 Community Centre, Basant Lok, Vasant Vihar, New Delhi – 110 057

Date:-15.10.2012

The
Manager
Delhi Stock Exchange Limited
3/1,Asaf Ali Road
New Delhi-110002.

Sub:- Shareholding pattern under clause 35 of Listing Agreement

Dear Sir,

Please find attached_Shareholding pattern under clause 35 of Listing Agreement for the quarter ended 30.09.2012

Thanking You,
Yours faithfully,

For SWAGTAM TRADING & SERVICES LTD



Director

Introductory sub-table (I)(a) Statement showing Shareholding Pattern				
Name of the Company: SWAGTAM TRADING & SERVICES LIMITED				
Scrip Code- DSE, Name of the scrip, class of security: Equity				
Quarter ended: 30-09-2012				
Partly paid-up shares:-		No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group			0	0
Held by public			0	0
Total			0	0
Outstanding convertible securities:-				
No. of outstanding securities		As a % of total no. of outstanding convertible securities		
Held by promoter/promoter group			0	0
Held by public			0	0
Total			0	0
Warrants:-				
No. of warrants		As a % of total no. of warrants		
Held by promoter/promoter group			0	0
Held by public			0	0
Total			0	0
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities				
		2475000		

For Swagtam Trading & Services Ltd.

Sumit Solanki
Director

Statement Showing Shareholding Pattern

Category code			Table (I)(a)				Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
Category code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	As a percentage of (A+B) ¹	As a percentage of (A+B+C)	Number of shares	As a percentage (IX)=(VIII)/(IV)*100		
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)		
(A)	Shareholding of Promoter and Promoter Group ²									
1	Indian									
(a)	Individuals/ Hindu Undivided Family	0	0	0	0.00	0.00	0	0.00	0.00	
(b)	Central Government/ State Government(s)				0.00	0.00			0.00	
(c)	Bodies Corporate	7	63500	0	25.66	25.66	0		0.00	
(d)	Financial Institutions/ Banks				0.00	0.00			0.00	
(e)	Any Others(Specify)				0.00	0.00			0.00	
(e-i)					0.00	0.00			0.00	
(e-ii)					0.00	0.00			0.00	
	Sub Total(A)(1)	7	63500	0	25.66	25.66	0		0.00	
2	Foreign									
a	Individuals (Non-Residents Individuals/ Foreign Individuals)	0	0	0	0.00	0.00			0.00	
b	Bodies Corporate	0	0	0	0.00	0.00	0		0.00	
c	Institutions	0	0	0	0.00	0.00	0		0.00	
d	Qualified Foreign Investor	0	0	0	0.00	0.00	0		0.00	
e	Any Others(Specify)	0	0	0	0.00	0.00	0		0.00	
e-i		0	0	0	0.00	0.00	0		0.00	
e-ii										
	Sub Total(A)(2)	0	0	0	0.00	0.00	0		0.00	
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	7	63500	0	25.66	25.66	0		0.00	
(B)	Public shareholding									

For Swagatam Trading & Services Ltd.

 Director

(D)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder (II)	Details of Shares held		Encumbered shares (*)		Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A) +(B) +(C)	No.	As a percentage (VI)=(V)/(III)*100	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of convertible securities held	As a % total number of convertible securities of the same class	
(I)		(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(XI)	(XII)
1	Duncan Macneill Const. & Dev. Ltd	12000	4.85	-	-	-	-	-	-	-
2	Buckingham Industries Ltd	12000	4.85	-	-	-	-	-	-	-
3	Jeewan Commercial Ltd	12000	4.85	-	-	-	-	-	-	-
4	A C E C India Pvt. Ltd	1200	0.48	-	-	-	-	-	-	-
5	Pashupatinath Holdings P Ltd	2100	0.85	-	-	-	-	-	-	-
6	East West Properties P Ltd	12000	4.85	-	-	-	-	-	-	-
7	Assam Co. India Ltd	12200	4.93	-	-	-	-	-	-	-
TOTAL		63500	25.66	-	-	-	-	-	-	-

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2017

For Swagtam Trading & Services Ltd.

Director

D(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	Mr M L Gupta	5000	2.02		0 NIL		0 NIL	5000
2	Mr S S Sharma	5000	2.02		0 NIL		0 NIL	5000
3	Mr. Aman Kirpal	5000	2.02		0 NIL		0 NIL	5000
4	Mr. Anand Kumar Khemka	5000	2.02		0 NIL		0 NIL	5000
5	Mr. Anand Vallabh Singh	5650	2.28		0 NIL		0 NIL	5650
6	Mr. P. B. Kakkar	2500	1.01		0 NIL		0 NIL	2500
7	Mrs Damini Baby	3000	1.21		0 NIL		0 NIL	3000
8	Mr. N. K. Kirpal	5000	2.02		0 NIL		0 NIL	5000
9	Mrs. Leela Kirpal	5000	2.02		0 NIL		0 NIL	5000
10	M/s Ramlal Aggarwal & Sons	3000	1.21		0 NIL		0 NIL	3000
11	Mrs. Vandana Kirpal	5000	2.02		0 NIL		0 NIL	5000
12	Aditya Estates Pvt Ltd	36200	14.63		0 NIL		0 NIL	36200
13	P R Holdings Ltd	21900	8.85		0 NIL		0 NIL	21900
14	Duncan Macneill Mines & Gr	24200	9.78		0 NIL		0 NIL	24200
15	Lord Inchcape Financial Servi	12000	4.85		0 NIL		0 NIL	12000
16	William Jacks & Co. India Ltd	24200	9.78		0 NIL		0 NIL	24200
TOTAL		167650	67.74		0 NIL		0 NIL	167650

For Swagtam Trading & Services Ltd.

[Signature]
Director

(D)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above}	Details of warrants			Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	Number of convertible securities of the same class	% w.r.t total number of convertible securities of the same class	
1	Aditya Estates Pvt Ltd	36200	14.63	0	NIL	0	NIL		36200
2	P R Holdings Ltd	21900	8.85						21900
3	Duncan Macneill Mines & Granites Ltd	24200	9.78						24200
4	Lord Inchcape Financial Services Ltd	12000	4.85						12000
5	William Jacks & Co. India Ltd	24200	9.78						24200
	TOTAL	118500	47.88	0	NIL	0	NIL	0	118500

For Swagatam Trading & Services Ltd.

[Signature]

Director

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1			0.00
2			0.00
3			0.00
4			0.00
5			0.00
6			0.00
7			0.00
8			0.00
9			0.00
TOTAL		0	0.00

For Swagtam Trading & Services Ltd.

Director

(II)(a)

TOTAL

For Swagtam Trading & Services Ltd.

Director

(q)(b)

TOTAL

For Swagtam Trading & Services Ltd.

Director