

Introductory sub-table (I)(a)
Statement showing Shareholding Pattern

Name of the Company: SWAGTAM TRADING & SERVICES LIMITED

Scrip Code- DSE, Name of the scrip, class of security: Equity

Quarter ended: 30-09-2013

Partly paid-up shares:-		No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group		0	0	0
Held by public		0	0	0
Total		0	0	0
Outstanding convertible securities:-				
	No. of outstanding securities	As a % of total no. of outstanding convertible securities		As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group		0	0	0
Held by public		0	0	0
Total		0	0	0
Warrants:-				
	No. of warrants	As a % of total no. of warrants		As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group		0	0	0
Held by public		0	0	0
Total		0	0	0
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities		2475000		

For Swaglam Trading & Services Ltd.

M. M. S.
Director

Statement Showing Shareholding Pattern

Table I(a)								
Category of code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
(I)	(II)	(III)	(IV)	(V)	As a percentage of (A+B) ¹	As a percentage of (A+B+C)	(VIII)	(IX) = (VIII)/(IV) * 100
(A)	Shareholding of Promoter and Promoter Group ²							
1	Indian							
(a)	Individuals/ Hindu Undivided Family	0	0	0	0.00	0.00	0	0.00
(b)	Central Government/ State Government(s)				0.00	0.00		0.00
(c)	Bodies Corporate	7	63500	0	25.66	25.66	0	0.00
(d)	Financial Institutions/ Banks				0.00	0.00		0.00
(e)	Any Others(Specify)				0.00	0.00		0.00
(e-i)					0.00	0.00		0.00
(e-ii)								
	Sub Total(A)(I)	7	63500	0	25.66	25.66	0	0.00
2	Foreign							
a	Individuals (Non-Residents)							
	Foreign Individuals/	0	0	0	0.00	0.00		0.00
	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
b	Institutions	0	0	0	0.00	0.00	0	0.00
c	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
d	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
e		0	0	0	0.00	0.00	0	0.00
e-i								
e-ii								
	Sub Total(A)(2)	0	0	0	0.00	0.00	0	0.00
	Total Shareholding of Promoter and Promoter Group (A) = (A)(I)+(A)(2)	7	63500	0	25.66	25.66	0	0.00
(B)	Public shareholding				For Swarnam Trading & Services Ltd.			

Wu / Director

(1)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.		Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage (V)=(V)/(III)*100	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
(I)	(II) Name of the shareholder	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	Duncan Macneill Const. & Dev. Ltd	12000	4.85	-	-	-	-	-	-	-	-
2	Buckingham Industries Ltd	12000	4.85	-	-	-	-	-	-	-	-
3	Jeewan Commercial Ltd	12000	4.85	-	-	-	-	-	-	-	-
4	A C E C India Pvt. Ltd	1200	0.48	-	-	-	-	-	-	-	-
5	Pashupatinath Holdings P Ltd	2100	0.85	-	-	-	-	-	-	-	-
6	East West Properties P Ltd	12000	4.85	-	-	-	-	-	-	-	-
7	Assam Co. India Ltd	12200	4.93	-	-	-	-	-	-	-	-
	TOTAL	63500	25.66	-	-	-	-	-	-	-	-

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SASF Regulations, 2011 and 3 Services Ltd

[Signature]
Director

D(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants			Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class		
1	Mr M L Gupta	5000	2.02		0 NIL		0 NIL	5000	
2	Mr S S Sharma	5000	2.02		0 NIL		0 NIL	5000	
3	Mr. Aman Kirpal	5000	2.02		0 NIL		0 NIL	5000	
4	Mr. Anand Kumar Khemka	5000	2.02		0 NIL		0 NIL	5000	
5	Mr. Anand Vallabh Singh	5650	2.28		0 NIL		0 NIL	5650	
6	Mr. P. B. Kakkar	2500	1.01		0 NIL		0 NIL	2500	
7	Mrs Damini Baby	3000	1.21		0 NIL		0 NIL	3000	
8	Mr. N. K. Kirpal	5000	2.02		0 NIL		0 NIL	5000	
9	Mrs. Leela Kirpal	5000	2.02		0 NIL		0 NIL	5000	
10	M/s Ramlal Aggarwal & Sons	3000	1.21		0 NIL		0 NIL	3000	
11	Mrs. Vandana Kirpal	5000	2.02		0 NIL		0 NIL	5000	
12	Aditya Estates Pvt Ltd	36200	14.63		0 NIL		0 NIL	36200	
13	P R Holdings Ltd	21900	8.85		0 NIL		0 NIL	21900	
14	Duncan Macneill Mines & Gr	24200	9.78		0 NIL		0 NIL	24200	
15	Lord Incheape Financial Servi	12000	4.85		0 NIL		0 NIL	12000	
16	William Jacks & Co. India Ltd	24200	9.78		0 NIL		0 NIL	24200	
TOTAL		167650	67.74		0 NIL		0 NIL	167650	

For Swaglam Trading & Services Ltd

Director

X

(1)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company


Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares f.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	Aditya Estates Pvt Ltd	36200	14.63	0	NIL	0	NIL	36200
2	P R Holdings Ltd	21900	8.85					21900
3	Duncan Macneill Mines & Granites Ltd	24200	9.78					24200
4	Lord Incheape Financial Services Ltd	12000	4.85					12000
5	William Jacks & Co. India Ltd	24200	9.78					24200
	TOTAL	118500	47.88	0	NIL	0	NIL	118500

For Swagatam Trading & Services Ltd

Director

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1				0.00
2				0.00
3				0.00
4				0.00
5				0.00
6				0.00
7				0.00
8				0.00
9				
			0	0.00
TOTAL				

For Swaglam Trading & Services Ltd.

Director