

# SWAGTAM TRADING & SERVICES LTD

22 Community Centre, Basant Lok, Vasant Vihar, New Delhi – 110 057

Date:-15.01.2013

The  
Manager  
Delhi Stock Exchange Limited  
3/1,Asaf Ali Road  
New Delhi-110002.

## Sub:- Shareholding pattern under clause 35 of Listing Agreement

Dear Sir,

Please find attached\_Shareholding pattern under clause 35 of Listing Agreement for the quarter ended 31-12-2012

Thanking You,  
Yours faithfully,

For SWAGTAM TRADING & SERVICES LTD

Director

Regd. Office : Girish Chandra Bardalai Path, Bamunimaidam, Guwahati, Assam

Introductory sub-table (I)(a) Statement showing Shareholding Pattern			
Name of the Company: SWAGTAM TRADING & SERVICES LIMITED			
Scrip Code- DSE, Name of the scrip, class of security: Equity			
Quarter ended: 31-12-2012			
Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
Outstanding convertible securities:-			
No. of outstanding securities		As a % of total no. of outstanding convertible securities	
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
Warrants:-			
No. of warrants		As a % of total no. of warrants	
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities			
		2475000	

For Swagtam Trading & Services Ltd  
Naveen Director

Statement Showing Shareholding Pattern						
		Table (I)(a)				
Category code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares	Shares Pledged or otherwise encumbered
(I)	(II)	(III)	(IV)	(V)	As a percentage of(A+B) <sup>1</sup>	As a percentage of (A+B+C)
(A)	<b>Shareholding of Promoter and Promoter Group<sup>2</sup></b>				(VI)	(VII)
<b>1</b>	<b>Indian</b>					
	(a) Individuals/ Hindu Undivided Family	0	0	0	0.00	0.00
	(b) Central Government/ State Government(s)				0.00	0.00
	(c) Bodies Corporate	7	63500	0	25.66	25.66
	(d) Financial Institutions/ Banks				0.00	0.00
	(e) Any Others(Specify)				0.00	0.00
	(e-i)				0.00	0.00
	(e-ii)				0.00	0.00
	<b>Sub Total(A)(1)</b>	<b>7</b>	<b>63500</b>	<b>0</b>	<b>25.66</b>	<b>25.66</b>
<b>2</b>	<b>Foreign</b>					
a	Individuals (Non-Residents Individuals/ Foreign Individuals)				0.00	0.00
b	Bodies Corporate	0	0	0	0.00	0.00
c	Institutions	0	0	0	0.00	0.00
d	Qualified Foreign Investor	0	0	0	0.00	0.00
e	Any Others(Specify)	0	0	0	0.00	0.00
e-i		0	0	0	0.00	0.00
e-ii		0	0	0	0.00	0.00
	<b>Sub Total(A)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
<b>Total Shareholding of Promoter and Promoter Group (A)=</b>						
	(A)(1)+(A)(2)	7	63500	0	25.66	25.66
	<b>(B) Public shareholding</b>					

For Swagatam Trading & Services Ltd.

Director

<b>1</b>	<b>Institutions</b>	0	0	0	0	0	0	0
(a)	Mutual Funds/ UTI	0	0	0	0	0	0	0
(b)	Financial Institutions / Banks	0	0	0	0	0	0	0
(c)	Central Government/ State Government(s)	0	0	0	0	0	0	0
(d)	Venture Capital Funds	0	0	0	0	0	0	0
(e)	Insurance Companies	0	0	0	0	0	0	0
(f)	Foreign Institutional Investors	0	0	0	0	0	0	0
(g)	Foreign Venture Capital Investors	0	0	0	0	0	0	0
(h)	Qualified Foreign Investor	0	0	0	0	0	0	0
(i)	Any Other (specify)	0	0	0	0	0	0	0
(i-ii)								
(i-iii)								
	<b>Sub-Total (B)(1)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>B 2</b>	<b>Non-institutions</b>							
(a)	Bodies Corporate	8	121399	0	60.70	60.70	0	0.00
(b)	Individuals							
	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	50	62601	0	22.00	22.00	0	0.00
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.							
(c)	Qualified Foreign Investor	0	0	0	0	0	0	0.00
(d)	Any Other (specify)	0	0	0	0	0	0	0.00
(d-i)					0	0	0	0.00
(d-ii)					0	0	0	0.00
	<b>Sub-Total (B)(2)</b>	<b>58</b>	<b>184000</b>	<b>0</b>	<b>74.34</b>	<b>74.34</b>	<b>0</b>	<b>0.00</b>
<b>(B)</b>	<b>Total Public Shareholding (B)= (B)(1)+(B)(2)</b>	<b>58</b>	<b>184000</b>	<b>0</b>	<b>74.34</b>	<b>74.34</b>	<b>0</b>	<b>0.00</b>
	<b>TOTAL (A)+(B)</b>	<b>65</b>	<b>247500</b>	<b>0</b>	<b>100.00</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>
(C)	Shares held by Custodians and against which Depository Receipts have been issued							

  
 For Swagatam Trading & Services Ltd  
 Director

1	Promoter and Promoter Group			0.00	0	0.00
2	Public			0.00	0	0.00
	<b>Sub-Total (C)</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>65</b>	<b>247500</b>	<b>0</b>	<b>100.00</b>	<b>0</b>

For Swagtam Trading & Services Ltd.

*M. Bhattacharya*  
Director

(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Details of Shares held	Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital	
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
Name of the shareholder										
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)
1	Duncan Macneil Const. & Dev. Ltd	12000	4.85	-	-	-	-	-	-	-
2	Buckingham Industries Ltd	12000	4.85	-	-	-	-	-	-	-
3	Jeewan Commercial Ltd	12000	4.85	-	-	-	-	-	-	-
4	A C E C India Pvt. Ltd	1200	0.48	-	-	-	-	-	-	-
5	Pashupatinath Holdings P Ltd	2100	0.85	-	-	-	-	-	-	-
6	East West Properties P Ltd	12000	4.85	-	-	-	-	-	-	-
7	Assam Co. India Ltd	12200	4.93	-	-	-	-	-	-	-
<b>TOTAL</b>		<b>63500</b>	<b>25.66</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>

(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

For Swagtam Trading & Services Ltd.

*[Signature]*  
By  
**Director**

D(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities	Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % of total number of warrants of the same class		
1	Mr M L Gupta	5000	2.02	0 NIL	0	0 NIL	5000
2	Mr S S Sharma	5000	2.02	0 NIL	0	0 NIL	5000
3	Mr. Aman Kirpal	5000	2.02	0 NIL	0	0 NIL	5000
4	Mr. Anand Kumar Khemka	5000	2.02	0 NIL	0	0 NIL	5000
5	Mr. Anand Vallabh Singh	5650	2.28	0 NIL	0	0 NIL	5650
6	Mr. P. B. Kakkar	2500	1.01	0 NIL	0	0 NIL	2500
7	Mrs Damini Baby	3000	1.21	0 NIL	0	0 NIL	3000
8	Mr. N. K. Kirpal	5000	2.02	0 NIL	0	0 NIL	5000
9	Mrs. Leela Kirpal	5000	2.02	0 NIL	0	0 NIL	5000
10	M/s Ramlal Aggarwal & Sons	3000	1.21	0 NIL	0	0 NIL	3000
11	Mrs. Vandana Kirpal	5000	2.02	0 NIL	0	0 NIL	5000
12	Aditya Estates Pvt Ltd	36200	14.63	0 NIL	0	0 NIL	36200
13	P R Holdings Ltd	21900	8.85	0 NIL	0	0 NIL	21900
14	Duncan Macneill Mines & Gr	24200	9.78	0 NIL	0	0 NIL	24200
15	Lord Inchcape Financial Servi	12000	4.85	0 NIL	0	0 NIL	12000
16	William Jacks & Co. India Ltd	24200	9.78	0 NIL	0	0 NIL	24200
TOTAL		167650	67.74	0 NIL	0	0 NIL	167650

For Swagtam Trading & Services Ltd

  
Director

(D)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with  
PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	Aditya Estates Pvt Ltd	36200	14.63	0 NIL		0 NIL		36200
2	P R Holdings Ltd	21900	8.85					21900
3	Duncan Macneill Mines & Granites Ltd	24200	9.78					24200
4	Lord Inchape Financial Services Ltd	12000	4.85					12000
5	William Jacks & Co. India Ltd	24200	9.78					24200
	<b>TOTAL</b>	<b>118500</b>	<b>47.88</b>	<b>0 NIL</b>	<b>0 NIL</b>	<b>0 NIL</b>	<b>0 NIL</b>	<b>118500</b>

For Swagtam Trading & Services Ltd  
Mr. A.P.  
Director

*[Signature]*

**(I)(d) Statement showing details of locked-in shares**

Sr. No.	Name of the shareholder	Number of locked-in shares (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1			0.00
2			0.00
3			0.00
4			0.00
5			0.00
6			0.00
7			0.00
8			0.00
9			0.00
<b>TOTAL</b>		<b>0</b>	<b>0.00</b>

For Swagtam Trading & Services Ltd  
  
**Director**

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1				0.00
2				0.00
3				0.00
4				0.00
5				0.00
6				0.00
7				0.00
8				0.00
9				0.00
<b>TOTAL</b>		<b>0</b>	<b>0</b>	<b>0.00</b>

For Swagtam Trading & Services Ltd.  
M.W. Dube  
Director

## (II)(b)

Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1				0.00
2				0.00
3				0.00
4				0.00
5				0.00
6				0.00
7				0.00
8				0.00
9				0.00
TOTAL			0	0.00

Fmr Swagtam Trading & Services Ltd.

  
Director