

SWAGTAM TRADING & SERVICES LIMITED

Regd. Office: Girish Chandra Bardalai Path, Bamunimaidan, Guwahati, Assam, 781021

Corp. Office: R-489, GF-A, Ground Floor, New Rajinder Nagar, New Delhi – 110060

Tel : 011-42475489 E-mail : swagtam1984@gmail.com Website : www.swagtam.com

CIN: L51909AS1984PLC007078

Quarterly Compliance Report on Corporate Governance under Clause 49 of the Listing Agreement

Name of the Company : **SWAGTAM TRADING AND SERVICES LIMITED**
 Quarter ending on : **30th SEPTEMBER, 2015**

PARTICULARS	CLAUSE OF LISTING AGREEMENT	COMPLIANCE STATUS YES / NO	REMARKS
I. Board Of Directors	49 (I)		
(A) Composition of Board	49 (I A)	YES	
(B) Non-Executive Director's Compensation & Disclosures	49 (I B)	YES	
(C) Other Provisions as to Board and Committee	49 (I C)	YES	
(D) Code of Conduct	49 (I D)	YES	
II. Audit Committee	49 (II)		
(A) Qualified & Independent Audit Committee	49 (II A)	YES	
(B) Meeting of Audit Committee	49 (II B)	YES	
(C) Powers of Audit Committee 49 (IIC)	49 (II C)	YES	
(D) Role Of Audit Committee	49 (II D)	YES	
(E) Review of Information by Audit Committee	49 (II E)	YES	
III. Subsidiary Companies	49 (III)	N.A.	
IV. Disclosures	49 (IV)		
(A) Basis of Related Party Transactions	49 (IV A)	N.A.	
(B) Disclosure of Accounting Treatment	49 (IV B)	N.A.	
(C) Board Disclosures	49 (IV C)	YES	
(D) Proceeds from Public Issues, Rights Issues, Preferential Issues, etc.	49 (IV D)	N.A.	
(E) Remuneration of Directors	49 (IV E)		To be Complied in the Annual Report
(F) Management	49 (IV F)		To be Complied in the Annual Report
(G) Shareholders	49 (IV G)	YES	
V. CEO / CFO Certification	49 (V)		To be Complied in the Annual Report
VI. Report on Corporate Governance	49 (VI)	YES	
VII. Compliance	49 (VII)		To be Complied in the Annual Report

Place : New Delhi
 Dated : 03.10.2015



For Swagtam Trading and Services Limited

For Swagtam Trading & Services Ltd.

Lalita Mittal
 Director/Authorised Signatory

Lalita Mittal
 WTD & CFO
 DIN - 06928783