

**RECONCILIATION OF SHARE CAPITAL AUDIT REPORT**

1. For Quarter Ended : **30<sup>TH</sup> SEPTEMBER, 2015**
2. ISIN : **INE150R01019**
3. Face Value : **Rs. 10/-**
4. Name of the Company : **Swagtam Trading and Services Limited**
5. Registered Office of the Company : **Girish Chandra Bardalai Path,  
Bamunimaidan, Guwahati, Assam – 781021.**
6. Correspondence Address : **R-489, GF-A, Ground Floor, New Rajinder Nagar,  
New Delhi – 110060.**
7. Telephone No. : **011 – 4247 5489, 0991 000 3638**
8. E-mail Address : **swagtam1984@gmail.com**
9. Name of the Stock Exchange where the Company's Securities are listed :  
1) Calcutta Stock Exchange Limited [CSE]  
2) Delhi Stock Exchange Limited [DSE]

		No. of Shares	% of total issued capital
10.	Issued Capital	11,90,500	100.00
11.	Listed Capital		
		DSE:-	2,47,500 20.78
		CSE:-	11,90,500 100.00
12.	Held in dematerialised form in NSDL	6,31,550	53.05
13.	Held in dematerialised form in CDSL	4,95,424	41.61
14.	Physical	63,526	5.34
15.	Total No. of Shares (12+13+14)	11,90,500	100.00

- 16. Reasons for differences if any, between: (10 & 11), (10 & 15), (11 & 15): **Application for Listing Approval of 9,43,000 equity shares has been filed on 09.10.2014 in DSE, but due to de-recognition of DSE, 9,43,000 Equity shares could not be listed on DSE.**
- **"In-Principle" Approval Letter dated 30.09.2014 received from DSE.**
- **9,43,000 equity shares Allotted on 30.09.2014 on Preferential basis.**
- **11,90,500 Shares of the Company are also Listed at Calcutta Stock Exchange.**

17. Certifying the details of changes in share capital during the quarter under consideration as per Table below:- **9,43,000 equity shares Allotted on 30.09.2014 on Preferential basis.**

Particulars	No of Shares	Applied/ Not Applied for Listing	Listed on Stock Exchanges (Specify Names)	Whether intimated to CDSL	Whether intimated to NDSL	In-Principle Approval Pending for SE (Specify Names)
Preferential Issue	9,43,000	Applied for Listing to DSE & CSE	1) DSE – pending Listing 2) CSE – Listed on 31.12.2014	----- YES	----- YES	In-principle approval from DSE received on 30-09-2014



18. Register of Member is updated : **YES**
19. Reference of previous quarter with regards to excess dematerialization shares, if any : **NOT APPLICABLE**
20. Has the company resolved the matter mentioned in point no. 19 above in the Current quarter? If not, reason why? : **NOT APPLICABLE**

21. Mentioned the total no. of request, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay:

Total No. of Demat Requests	No. of Requests	No. of Shares	Reasons for delay
Confirmed after 21 Days	0	0	N.A.
Pending for more than 21 Days	0	0	N.A.

22. Name, Telephone & Fax No. of the Compliance Officer of the Company : Mrs. **SONIA RANI** (ACS-36984)  
Company Secretary and Compliance Officer.  
Mobile: 09971543044
23. Name, Telephone & Fax No., Regn. No. of the Secretarial Auditor. (Secretarial Auditor) : Mr. **BHARAT BHUSHAN**  
09650555376
24. Appointment of Common Agency for shares registry for shares registry work, if yes (name & address) : **ALANKIT ASSIGNMENTS LIMITED**  
1E/13, Jhandewalan Extn.,  
New Delhi- 110055  
Mr. J. K. Singla, Sr. Manager  
011 - 4254 1234, [rta@alankit.com](mailto:rta@alankit.com)
25. Any other detail that the auditor may like To provide (e.g. BIFR Company, delisting from SE,). : **NIL**

For **B. BHUSHAN & CO.**  
Company Secretaries

*Bhushan Bhushan*  
**BHARAT BHUSHAN**  
(Proprietor)  
M. No.: A31951  
CP : 14469



Place: New Delhi  
Date : 03.10.2015

03 OCT 2015