

<b>General information about company</b>	
Scrip code	539406
NSE Symbol	
MSEI Symbol	
ISIN	INE150R01019
Name of the entity	SWAGTAM TRADING & SERVICES LIMITED
Date of start of financial year	01-04-2020
Date of end of financial year	31-03-2021
Reporting Quarter	Quarterly
Date of Report	30-06-2020
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

## Annexure I

## Annexure I to be submitted by listed entity on quarterly basis

## I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory															
Whether the listed entity has a Regular Chairperson															
Whether Chairperson is related to MD or CEO															
PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of Chairperson in Audit Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
FLPG1428A	00074532	Non-Executive - Independent Director	Not Applicable		30-04-1968	No	26-09-2019	17-09-2014	26-09-2019		60	2	1	3	1
CTPM1462B	06928783	Executive Director	Chairperson	CEO-MD	04-08-1949	NA		08-08-2014	26-09-2019		60	2	1	4	1
HCPM2840D	06877244	Non-Executive - Independent Director	Not Applicable		09-10-1983	No	26-09-2019	17-09-2014	26-09-2019		60	2	2	4	3
ATPG1443N	06911742	Non-Executive - Non Independent Director	Not Applicable		24-08-1979	No	26-09-2019	12-09-2015	26-09-2019		12	1	0	1	0

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06877244	RAMAN MITTAL	Non-Executive - Independent Director	Chairperson	26-06-2019		
2	00074532	RAJ KUMAR GUPTA	Executive Director	Member	26-09-2019		
3	06928783	LALITA MITTAL	Non-Executive - Independent Director	Member	26-09-2019		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06877244	RAMAN MITTAL	Non-Executive - Independent Director	Chairperson	26-09-2019		
2	00074532	RAJ KUMAR GUPTA	Non-Executive - Independent Director	Member	26-09-2019		
3	06911742	SUMIT GUPTA	Non-Executive - Non Independent Director	Member	26-09-2019		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06877244	RAMAN MITTAL	Non-Executive - Independent Director	Chairperson	26-09-2019		
2	06928783	LALITA MITTAL	Executive Director	Member	26-09-2019		
3	06911742	SUMIT GUPTA	Non-Executive - Non Independent Director	Member	26-09-2019		

<b>Risk Management Committee</b>							
Whether the Risk Management Committee has a Regular Chairperson					No		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					No		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

<b>Other Committee</b>						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks



Annexure 1							
Annexure 1							
III. Meeting of Board of Directors							
Disclosure of notes on meeting of board of directors explanatory							
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	07-02-2020				Yes	4	2
2		26-06-2020	139		Yes	4	2

Annexure 1								
IV. Meeting of Committees								
Disclosure of notes on meeting of committees explanatory								
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	Audit Committee	07-02-2020				Yes	3	2
2	Audit Committee	26-06-2020	139			Yes	3	2
3	Stakeholders Relationship Committee	07-02-2020				Yes	3	2
4	Stakeholders Relationship Committee	26-06-2020	139			Yes	3	2
5	Nomination and remuneration committee	07-02-2020				Yes	3	1
6	Stakeholders Relationship Committee	26-06-2020	139			Yes	3	1

<b>Annexure 1</b>			
<b>V. Related Party Transactions</b>			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	NA	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA	

<b>Annexure 1</b>		
<b>VI. Affirmations</b>		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

<b>Annexure 1</b>		
<b>Sr</b>	<b>Subject</b>	<b>Compliance status</b>
1	Name of signatory	SUMIT GUPTA
2	Designation	Director

<b>Signatory Details</b>	
Name of signatory	SUMIT GUPTA
Designation of person	Director
Place	NEW DELHI
Date	15-07-2020

