

**RECONCILIATION OF SHARE CAPITAL AUDIT REPORT**

1. For Quarter Ended : **31<sup>st</sup> March, 2017**
2. ISIN : **INE150R01019**
3. Face Value : **Rs. 10/-**
4. Name of the Company : **SWAGTAM TRADING AND SERVICES LIMITED**  
CIN : **L51909DL1984PLC289131**
5. Registered Office of the Company : **R-489, GF-A, New Rajinder Nagar,  
New Delhi – 110060.**
6. Correspondence Address : **R-489, GF-A, New Rajinder Nagar,  
New Delhi – 110060.**
7. Telephone No. : **011 – 4247 5489**
8. E-mail Address : **swagtam1984@gmail.com**
9. Name of the Stock Exchange where the Company's Securities are listed : **1) BSE Limited  
2) Calcutta Stock Exchange Limited [CSE]**

|     |                                     | No. of Shares | % of total issued capital |
|-----|-------------------------------------|---------------|---------------------------|
| 10. | Issued Capital                      | 11,90,500     | 100.00                    |
| 11. | Listed Capital                      |               |                           |
|     | CSE:-                               | 11,90,500     | 100.00                    |
|     | BSE:-                               | 11,90,500     | 100.00                    |
| 12. | Held in dematerialised form in NSDL | 6,21,550      | 52.21                     |
| 13. | Held in dematerialised form in CDSL | 5,07,524      | 42.63                     |
| 14. | Physical                            | 61,426        | 5.16                      |
| 15. | Total No. of Shares (12+13+14)      | 11,90,500     | 100.00                    |

16. Reasons for differences if any, between: (10 & 11), (10 & 15), (11 & 15): **Not Applicable**

17. Certifying the details of changes in share capital during the quarter under consideration as per Table below:- **Not Applicable**

| Particulars | No of Shares | Applied/ Not Applied for Listing | Listed on Stock Exchanges (Specify Names) | Whether intimated to CDSL | Whether intimated to NDSL | In-Principle Approval Pending for SE (Specify Names) |
|-------------|--------------|----------------------------------|---|---------------------------|---------------------------|--|
| -----       | -----        | -----                            | -----                                     | -----                     | -----                     | -----  |

18. Register of Member is updated : **YES**



**13 APR 2017**

19. Reference of previous quarter with regards to excess dematerialization shares, if any : NOT APPLICABLE

20. Has the company resolved the matter mentioned in point no. 19 above in the Current quarter? If not, reason why? : NOT APPLICABLE

21. Mentioned the total no. of request, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay:

| Total No. of Demat Requests   | No. of Requests | No. of Shares | Reasons for delay |
|-------------------------------|-----------------|---------------|-------------------|
| Confirmed after 21 Days       | 0               | 0             | N.A.              |
| Pending for more than 21 Days | 0               | 0             | N.A.              |

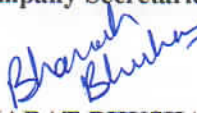
22. Name, Telephone & Fax No. of the Compliance Officer of the Company : Mrs. **SONIA RANI** (ACS-36984)  
Company Secretary and Compliance Officer.  
Mobile: 09971543044

23. Name, Telephone & Fax No., Regn. No. of the Secretarial Auditor. (Secretarial Auditor) : Mr. **BHARAT BHUSHAN**  
09650555376  
(M.No.A31951) (COP No:-14469)

24. Appointment of Common Agency for shares registry for shares registry work, if yes (name & address) : **ALANKIT ASSIGNMENTS LIMITED**  
1E/13,Jhandewalan Extn.,  
New Delhi- 110055  
Mr. J. K. Singla, Sr. Manager  
011 – 4254 1234, [rt@alankit.com](mailto:rt@alankit.com)

25. Any other detail that the auditor may like To provide (e.g. BIFR Company, delisting from SE,) : NIL

**For B. BHUSHAN & CO.**  
Company Secretaries

  
**BHARAT BHUSHAN**  
(Proprietor)  
M. No.: A31951  
CP : 14469



Place: New Delhi  
Date : 13-04-2017

13 APR 2017