

RECONCILIATION OF SHARE CAPITAL AUDIT REPORT

1. For Quarter Ended : 31<sup>st</sup> December, 2022
2. ISIN : INE150R01019
3. Face Value : Rs. 10/-
4. Name of the Company : SWAGTAM TRADING AND SERVICES LIMITED  
CIN : L51909DL1984PLC289131
5. Registered Office of the Company : R-489, GF-A, New Rajinder Nagar,  
New Delhi – 110060.
6. Correspondence Address : R-489, GF-A, New Rajinder Nagar,  
New Delhi – 110060.
7. Telephone No. : 011 – 4247 5489
8. E-mail Address : [swagtam1984@gmail.com](mailto:swagtam1984@gmail.com)
9. Name of the Stock Exchange where the Company's Securities are listed : 1) BSE Limited  
2) Calcutta Stock Exchange Limited [CSE]

|     |                                     | No. of Shares | % of total issued capital |
|-----|-------------------------------------|---------------|---------------------------|
| 10. | Issued Capital                      | 11,90,500     | 100.00                    |
| 11. | Listed Capital                      |               |                           |
|     | CSE:-                               | 11,90,500     | 100.00                    |
|     | BSE:-                               | 11,90,500     | 100.00                    |
| 12. | Held in dematerialised form in NSDL | 3,88,528      | 32.64                     |
| 13. | Held in dematerialised form in CDSL | 7,40,546      | 62.20                     |
| 14. | Physical                            | 61,426        | 5.16                      |
| 15. | Total No. of Shares (12+13+14)      | 11,90,500     | 100.00                    |

16. Reasons for differences if any, between: (10 & 11), (10 & 15), (11 & 15): Not Applicable

17. Certifying the details of changes in share capital during the quarter under consideration as per Table below:- Not Applicable

| Particulars | No of Shares | Applied/ Not Applied for Listing | Listed on Stock Exchanges (Specify Names) | Whether intimated to CDSL | Whether intimated to NDSL | In-Principle Approval Pending for SE (Specify Names) |
|-------------|--------------|----------------------------------|---|---------------------------|---------------------------|--|
| -----       | -----        | -----                            | -----                                     | -----                     | -----                     | -----  |

18. Register of Member is updated : YES

10 JAN 2023





19. Reference of previous quarter with regards to excess dematerialization shares, if any : NOT APPLICABLE

20. Has the company resolved the matter mentioned in point no. 19 above in the Current quarter? If not, reason why? : NOT APPLICABLE

21. Mentioned the total no. of request, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay:

| Total No. of Demat Requests   | No. of Requests | No. of Shares | Reasons for delay |
|-------------------------------|-----------------|---------------|-------------------|
| Confirmed after 21 Days       | 0               | 0             | N.A.              |
| Pending for more than 21 Days | 0               | 0             | N.A.              |

22. Name, Telephone & Fax No. of the Compliance Officer of the Company : Mr. Sumit Gupta (DIN:-06911742)  
Compliance officer  
9911108800

23. Name, Telephone & Fax No., Regn. No. of the Secretarial Auditor. (Secretarial Auditor) : Mr. Bharat Bhushan Garg  
09650555376  
(M.No.A31951) (COP No:-14469)

24. Appointment of Common Agency for shares registry for shares registry work, if yes (name & address) : Alankit Assignments Limited  
1E/13, Jhandewalan Extn.,  
New Delhi- 110055

25. Any other detail that the auditor may like to provide (e.g. BIFR Company, delisting from SE.).  
Secretary : CS Pallvi singal has been resigned from the company as on 15-12-2022 and company is in Process to appoint another Company

For B. BHUSHAN & CO.  
(Company Secretaries)

*Bharat Bhushan*

BHARAT BHUSHAN GARG  
(Proprietor)  
M. No.: A31951  
CP : 14469  
UDIN:- A031951D002925875



10 JAN 2023

Place: New Delhi  
Date : 10-01-2023

10 JAN 2023