

General information about company

Scrip code	539406
NSE Symbol	
MSEI Symbol	
ISIN	INE150R01019
Name of the entity	SWAGTAM TRADING & SERVICES LTD
Date of start of financial year	01-04-2019
Date of end of financial year	31-03-2020
Reporting Quarter	Quarterly
Date of Report	31-12-2019
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson

Yes

Whether Chairperson is related to MD or CEO

No

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of past Chairperson / Audit Stakeholder Committee held in listed entities including listed or (Refer Regulation 26(1) of Listing Regulations)
1	Mr	RAJ KUMAR GUPTA	AFLPG1428A	00074532	Non-Executive - Independent Director	Not Applicable		30-04-1968	No	26-09-2019	17-09-2014	26-09-2019		60	2	1	3	1
2	Ms	LALITA MITTAL	ACTPM1462B	06928783	Executive Director	Chairperson	CEO-MD	04-08-1949	NA		08-08-2014	26-09-2019		60	2	1	4	1
3	Mr	RAMAN MITTAL	AHCPM2840D	06877244	Non-Executive - Independent Director	Not Applicable		09-10-1983	No	26-09-2019	17-09-2014	26-09-2019		60	2	2	4	3
4	Mr	SUMIT GUPTA	AATPG1443N	06911742	Non-Executive - Non Independent Director	Not Applicable		24-08-1979	No	26-09-2019	12-09-2015	26-09-2019		12	1	0	1	0

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06877244	RAMAN MITTAL	Non-Executive - Independent Director	Chairperson	26-09-2019		
2	00074532	RAJ KUMAR GUPTA	Non-Executive - Independent Director	Member	26-09-2019		
3	06928783	LALITA MITTAL	Executive Director	Member	26-09-2019		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06877244	RAMAN MITTAL	Non-Executive - Independent Director	Chairperson	26-09-2019		
2	00074532	RAJ KUMAR GUPTA	Non-Executive - Independent Director	Member	26-09-2019		
3	06911742	SUMIT GUPTA	Non-Executive - Non Independent Director	Member	26-09-2019		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06877244	RAMAN MITTAL	Non-Executive - Independent Director	Chairperson	26-09-2019		
2	06928783	LALITA MITTAL	Executive Director	Member	26-09-2019		
3	06911742	SUMIT GUPTA	Non-Executive - Non Independent Director	Member	26-09-2019		

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure 1**Annexure 1****III. Meeting of Board of Directors**

Disclosure of notes on meeting of board of directors explanatory							
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	08-08-2019				Yes	4	2
2		11-11-2019	94		Yes	4	2

Annexure 1

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory								
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	Audit Committee	08-08-2019				Yes	3	2
2	Audit Committee	11-11-2019	94			Yes	3	2
3	Nomination and remuneration committee	08-08-2019				Yes	3	2
4	Nomination and remuneration committee	11-11-2019	94			Yes	3	2
5	Stakeholders Relationship Committee	08-08-2019				Yes	3	1
6	Stakeholders Relationship Committee	11-11-2019	94			Yes	3	1

Annexure 1

V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	If status is No details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	NA	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA	

Annexure 1**VI. Affirmations**

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1

Sr	Subject	Compliance status
1	Name of signatory	SUMIT GUPTA
2	Designation	Director

Signatory Details

Name of signatory	SUMIT GUPTA
Designation of person	Director
Place	NEW DELHI
Date	13-01-2020

