## **RECONCILIATION OF SHARE CAPITAL AUDIT REPORT**

- 1. For Quarter Ended
- 2. ISIN
- 3. Face Value
- 4. Name of the Company CIN
- 5. Registered Office of the Company :
- 6. Correspondence Address
- 7. Telephone No.
- 8. E-mail Address
- Name of the Stock Exchange where : the Company's Securities are listed :

31<sup>st</sup> December, 2019

INE150R01019

Rs. 10/-

SWAGTAM TRADING AND SERVICES LIMITED

B. Bhushan & Co.

Company Secretaries

L51909DL1984PLC289131

R-489, GF-A, New Rajinder Nagar,

New Delhi - 110060.

R-489, GF-A, New Rajinder Nagar, New Delhi – 110060.

011 - 4247 5489

swagtam1984@gmail.com

1) BSE Limited

2) Calcutta Stock Exchange Limited [CSE]

10 JAN 2020

		No. of Shares	% of total issued capital
10.	Issued Capital	11,90,500	100.00
11.	Listed Capital		
	CSE:-	11,90,500	100.00
	BSE:-	11,90,500	100.00
12.	Held in dematerialsed form in NSDL	6,89,450	57.91
13.	Held in dematerialsed form in CDSL	4,39,624	36.93
14.	Physical	61,426	5.16
15.	Total No. of Shares (12+13+14)	11,90,500	100.00

16. Reasons for differences if any, between: (10 & 11), (10 & 15), (11 & 15): Not Applicable

17. Certifying the details of changes in share capital during the quarter under consideration as per Table below:- Not Applicable

ecify

1195, Gali Babu Ram, Sita Ram Bazar Delhi-110006. Email -bbg20883@gmail.com, b.bhushanandcompany@gmail.com Mobile-9650555376, 9311531800

B. Bhushan & Co. Company Secretaries

- 19. Reference of previous quarter with regards to excess dematerialization shares, if any
- 20. Has the company resolved the matter mentioned in point no. 19 above in the Current quarter? If not, reason why?

## : NOT APPLICABLE

## : NOT APPLICABLE

21. Mentioned the total no. of request, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay:

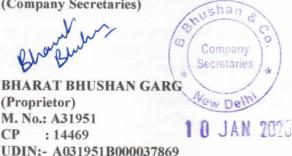
Total No. of Demat Requests	No. of Requests	No. of Shares	Reasons for delay
Confirmed after 21 Days	0	0	N.A.
Pending for more than 21 Days	0	0	N.A.

- 22. Name, Telephone & Fax No. of the Compliance Officer of the Company
- Name, Telephone & Fax No., Regn. No. of the Secretarial Auditor. (Secretarial Auditor)
- 24. Appointment of Common Agency for shares registry for shares registry work, if yes (name & address) :
- : Miss. Preetika Mishra(M.No.-A32490) Company Secretary & Compliance officer 9650735656
- : Mr. Bharat Bhushan Garg 09650555376 (M.No.A31951) (COP No:-14469)
- : Alankit Assignments Limited 1E/13, Jhandewalan Extn., New Delhi- 110055 Mr. J. K. Singla, Sr. Manager 011 – 4254 1234, <u>rta@alankit.com</u>

25. Any other detail that the auditor may like To provide (e.g. BIFR Company, delisting from SE,).

: NIL

For B. BHUSHAN & CO. (Company Secretaries)



Place: New Delhi Date : 10-01-2020

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