

**SWAGTAM TRADING & SERVICES LIMITED**

Regd. Office: R-489, GF-A, New Rajinder Nagar, New Delhi – 110060

Tel : 011-42475489 E-mail : swagtam1984@gmail.com Website : www.swagtam.com

CIN: L51909DL1984PLC289131

**Statement showing Shareholding Pattern**

Name of the Company

**SWAGTAM TRADING & SERVICES LTD**

**CIN : L51909DL1984PLC289131**

Scrip Code- DSE, Name of the scrip, class of security

**EQUITY**

Share Holding Pattern Filed under Reg. 31 (1) (b)

**30.06.2016**

**Declaration :**

S. No.	Particulars	Yes / No
1	Whether the Listed Entity has issued any partly Paid Shares	NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants	NO
3	Whether the Listed Entity has any shares against which Depository receipts issued	NO
4	Whether the Listed Entity has any shares in locked - in	NO
5	Whether any shares held by promoters are pledge or otherwise encumbered	NO

**For Swagtam Trading & Services Ltd.**

*Sonia Feroz*  
**Company Secretary**



Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (B)	No. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)		Total as a % of (A+B+C)	No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII) + (X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No. of Voting Rights Class: Equity	Total				No. (a) As a % of total Shares held (b)	No. (a) As a % of total Shares held (b)			
(A)	Promoter & Group	5	49200	0	0	49200	4.13	49200	49200	4.13	0	0	0	0	0	0	49200
(B)	Public	532	1141300	0	0	1141300	95.87	1141300	1141300	95.87	0	0	0	0	0	0	1079874
(C)	Non Promoter- Non Public																
(C1)	Shares underlying DPs																
(C2)	Shares held by Employee Trusts																
	Total	537	1190500	0	0	1190500	100.00	1190500	1190500	100	0	100	0	0	0	0	1129074



For Swagtam Trading & Services Ltd.  
*Souvik*  
 Company Secretary

Table II - Statement showing shareholding pattern of the Promoter and Promoter-Group

Category & Name of shareholder (i)	PAN (ii)	No. of shareholders (iii)	No. of fully paid up equity shares held (iv)	No. of Partly paid-up equity shares held (v)	No. of shares underlying Depository Receipts (vi)	Total no. shares held (vii) = (iv)+(v)+(vi)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C) (viii)	Number of Voting Rights in each class of securities (ix)		Total as a % of (A+B+C)	No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (vii) + (x) As a % of (A+B+C2)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)
								Class: Equity	Total				(a) As a % of total Shares held	(b)	(a) As a % of total Shares held	(b)	
1 Individual																	
(a) Individual/ Hindu undivided Family																	
(b) Central Government/ State Government(s)																	
(c) Financial Institutions/ Banks																	
(d) Any Other (Including Corporate) ANNEXURE - 1		5	49200	0	0	49200	4.13	49200	49200	4.13	0	4.13	0	0	0	0	49200
2 Foreign																	
(a) Individuals (Non-Resident Individuals/ Foreign Individuals)																	
(b) Government																	
(c) Institutions																	
(d) Investor																	
(d) Any Other (specify)																	
(d) Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		5	49200	0	0	49200	4.13	49200	49200	4.13	0	4.13	0	0	0	0	49200

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



For Swagtam Trading & Services Ltd.  
 South Pawl  
 Company Secretary

Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No	Name of the shareholder	PAN of Shareholder	No. of fully paid-up Equity Shares Held (V)	No. of partly paid-up Equity Shares Held (V)	No. of shares underlying Depository Receipts (VI)	Total No. of Shares Held (VII) = (V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VII) / As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)		No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII) + (X) As a % of (A+B+C2)	No. of Locked in shares (XII)		No. of shares pledged or otherwise (XIII)		Number of equity shares held in dematerialized form (XIV)
								Total	Total as a % of (A+B+C)			(a) As a % of total shares held	(b)	(a) As a % of total shares held (b)	(b)	
1	DUNCAN MACNEILL CONSTRUCTION & DEVELOPERS LTD.	AABCD5109P	12000	0	0	12000	1.01	12000	12000	0	0.00	0.00	0.00	0.00	0.00	12000
2	BUCKINGHAM INDUSTRIES LTD.	AAACB2509C	12000	0	0	12000	1.01	12000	12000	0	0.00	0.00	0.00	0.00	0.00	12000
3	JEEWAN COMMERCIAL LTD.	AAACJ7613F	12000	0	0	12000	1.01	12000	12000	0	0.00	0.00	0.00	0.00	0.00	12000
4	ACEC INDIA PVT. LTD.	AAGCA0046B	1200	0	0	1200	0.10	1200	1200	0	0.00	0.00	0.00	0.00	0.00	1200
5	EAST WEST PROPERTIES PVT. LTD.	AAACE0441L	12000	0	0	12000	1.01	12000	12000	0	0.00	0.00	0.00	0.00	0.00	12000
	TOTAL		49200	0	0	49200	4.13	49200	49200	0.00	0.00	0.00	0.00	0.00	0.00	49200



For Swagtam Trading & Services Ltd.  
Sonia Kaur  
Company Secretary

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of shareholder (I)	PAN (II)	No. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)		Total as a % of (A+B+C)	No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (XI) = (VII) + (X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of dematerialized equity shares held in form (XIV)
								Class Equity	Total				No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
<b>1</b>	<b>Institutions</b>																
(a)	Manual Funds																
	Name (xyz...)																
(b)	Venture Capital Funds																
	Name (xyz)																
(c)	Alternate Investment Funds																
	Name (xyz)																
(d)	Foreign Venture Capital Investors																
	Name (xyz)																
(e)	Foreign Portfolio Investors																
	Name (xyz)																
(f)	Financial Institutions/Banks																
	Name (xyz)																
(g)	Insurance Companies																
	Name (xyz)																
(h)	Provident Funds/ Pension Funds																
	Name (xyz)																
(i)	Any Other (Books Corporate)		2	50000	0	50000	4.20	50000	50000	4.20	0	4.20	0	0.00	0	0	50000
	AS PER ANNEXURE-2																
	Sub-Total (BYI)		2	50000	0	50000	4.20	50000	50000	4.20	0	4.20	0	0.00	0	0	50000
2	Central Government/ State Government/ President of India																
	Name (XYZ)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (BY2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3	Non-Institutional Individuals -																
(a)																	



For Swagtam Trading & Services Ltd.  
Sowdipani  
Company Secretary

i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	513	490700	0	0	490700	41.22	490700	490700	41.22	0	41.22	0	0.00	0	0	0	0	0	0	429274
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	17	600600	0	0	600600	50.45	600600	600600	50.45	0	50.45	0	0.00	0	0	0	0	0	0	600600
AS PER ANNEXURE-2																				
(b) NBFCA registered with RBI																				
(c) Employee Trusts																				
(d) Overseas Depositories (Pooling DPs) (balancing figure)																				
(e) Any Other (specify)																				
Sub-Total (B)(3)	530	1091300	0	0	1091300	91.67	1091300	1091300	91.67	0	91.67	0	0.00	0	0	0	0	0	0	1029874
Total Public Shareholding (B)-(B)(1)+(B)(2)+(B)(3)	532	1141300	0	0	1141300	95.87	1141300	1141300	95.87	0	95.87	0	0.00	0	0	0	0	0	0	1079874

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.



**For Swagtam Trading & Services Ltd.**  
*Sowid Paw*  
**Company Secretary**

S.R. No.	NAME OF SHAREHOLDERS	PAN	No. of Shares	% of Holding
1	Richa Aggarwal	ABHPA1347G	60,000	5.04
2	Veena Gupta	AFRPG8029B	50,000	4.20
3	Sumit Gupta	AAATPG1443N	45,000	3.78
4	Seema Gupta	AFKPG7585D	40,000	3.36
5	Rajesh Gupta	AALPG74303M	40,000	3.36
6	SUNITA RANI	ASUPR5199M	37,500	3.15
7	Amit Gupta	AAATPG1441Q	37,100	3.12
8	RAKESH KUMAR GARG	AARPG1490P	31,000	2.60
9	Shivani Garg	ALTPG9441P	30,000	2.52
10	Paayal	BEGPP3614E	30,000	2.52
11	Shweta Gupta	AGPPG9195H	30,000	2.52
12	Aayush Aggarwal	ALAPA1919R	30,000	2.52
13	Saroj Rani Aggarwal	ABQPA9078H	30,000	2.52
14	Anita Aggarwal	AAPNA6586C	30,000	2.52
15	Rajan Mittal	ABNPM8118M	30,000	2.52
16	Manish Aggarwal	AFZPA2150B	25,000	2.10
17	Manish Aggarwal HUF	AAIHM6935J	25,000	2.10
18	Weldon Fincap Pvt. Ltd.	AABCS5281M	25,000	2.10
19	Princep Trade and Finance Pvt. Ltd.	AABCP5338C	25,000	2.10
20	GAURAV MOHAN	ANYPM1623Q	20,000	1.68
21	HARSH VARDHAN BANSAL	AAAPB1908Q	15,100	1.27
22	MEENU BANSAL	AAAPB3590L	15,100	1.27
23	AJAY BINDAL	AADPB9118R	15,100	1.27
24	SUNITA BINDAL	AHFPB9420M	15,100	1.27
25	SHRESTH BANSAL	BBDPB3444C	15,100	1.27
26	JAGBIR SINGH	BLQPS1456L	15,000	1.26
27	VIKAS JINDAL	AEUPJ8625A	12,200	1.02
28	SHIVANI SODHI	AYSPS5870L	12,024	1.01

**TOTAL**

**785324**

**65.97**



For Swagtam Trading & Services Ltd.

*Sonia Rani*  
Company Secretary

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of shareholder (I)	PAN (II)	No. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV) + (V) + (VI)	Shareholding % calculated as per SCRR, 1957 as a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)		Total as a % of Total Voting rights	No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked In shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)
								Class Equity	Total						
1 Custodian/DR Holder															
(a) Name of DR Holder (if available)															
(i) Name (SVZ...)															
(ii) e.g. ....															
Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)															
(a) Name (abc...)															
Total Non-Promoter- Non Public Shareholding (C) = (CX1)+(CX2)															

Not

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.



**For Swagtam Trading & Services Ltd.**  
*Soula Paru*  
**Company Secretary**