

SWAGTAM TRADING & SERVICES LIMITED

Regd. Office: R-489, GF-A, New Rajinder Nagar, New Delhi – 110060

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CIN: L51909DL1984PLC289131

Statement showing Shareholding Pattern

Name of the Company

SWAGTAM TRADING & SERVICES LTD

CIN : L51909DL1984PLC289131

Scrip Code- DSE, Name of the scrip, class of security

EQUITY

Share Holding Pattern Filed under Reg. 31 (1) (b)

30 - September - 2016

Declaration :

S. No.	Particulars	Yes / No
1	Whether the Listed Entity has issued any partly Paid Shares	NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants	NO
3	Whether the Listed Entity has any shares against which Depository receipts issued	NO
4	Whether the Listed Entity has any shares in locked - in	NO
5	Whether any shares held by promoters are pledge or otherwise encumbered	NO

8 OCT 2016

For Swagtam Trading & Services Ltd.

Souvik Kumar
Company Secretary

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Table 1 - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding - as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII) + (X) As a % of (A+B+C)	Number of Locked in shares (XII) As a % of total Shares held (I)	Number of Shares pledged or otherwise encumbered (XIII) As a % of total Shares held (I)	Number of equity shares held in dematerialized form (XIV)
								Class: Equity	Total	Total as a % of (A+B+C)					
(A)	Promoter & Promoter Group	5	49200	0	0	49200	4.13	49200	49200	4.13	0	0	0	0	49200
(B)	Public	530	1141300	0	0	1141300	95.87	1141300	1141300	95.87	0	0	0	0	1079874
(C)	Non Promoter- Non Public														
(C1)	Shares underlying DRs														
(C2)	Shares held by Employee Trusts														
	Total	535	1190500	0	0	1190500	100.00	1190500	1190500	100	0	0.00	0	0	1129074

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For Swaglam Trading & Services Ltd.
Sandeep Kumar
Company Secretary

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of shareholder (i)	PAN (ii)	No. of shareholders (iii)	No. of fully paid up equity shares held (iv)	No. of Partly paid-up equity shares held (v)	No. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C) (viii)	Number of Voting Rights held in each class of securities (ix)		Total as a % of (A+B+C)	No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (vii) + (x) As a % of (A+B+C)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)
								Class: Equity	Total				(a) As a % of total Shares held	(b) As a % of total Shares held	(a) Shares held	(b) Shares held	
(a) Individual																	
(b) Central Government/ State Government/ Financial Institutions/ Banks																	
(c) Any Other (Includes Corporate)		5	49200	0	0	49200	4.13	49200	49200	4.13	0	4.13	0	0	0	0	49200
(d) AS PER ANNEXURE - 1		5	49200	0	0	49200	4.13	49200	49200	4.13	0	4.13	0	0	0	0	49200
(a) Foreign																	
(b) Government																	
(c) Institutions																	
(d) Foreign Portfolio Investor																	
(d) Any Other (specify)																	
(d) Sub-Total (XX2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d) Total Shareholding of Promoter and Promoter Group (A) = (XX1)+(XX2)		5	49200	0	0	49200	4.13	49200	49200	4.13	0	4.13	0	0	0	0	49200

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note: (1) PAN would not be displayed on website of Stock Exchange(s). (2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

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For Swagtam Trading & Services Ltd. *Souvik Kumar* Company Secretary

Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	PAN of Shareholder	No. of fully paid-up Equity Shares Held (VI)	No. of partly paid-up Equity Shares Held (V)	No. of shares underlying Depository Receipts (VII)	Total No. of Shares Held (VII) = (VI) + (V) + (VII)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)		No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % of amounting full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII) + (X) as a % of (A+B+C2)	No. of Locked in shares (XII)		No. of shares pledged or otherwise (XIII)		Number of equity shares held in dematerialized form (XIV)
								Class: Equity	Total			As a % of total shares held (a)	(b)	(a)	(b)	
1	DUNCAN MACNEILL CONSTRUCTION & DEVELOPERS LTD.	AABCD5169P	12000	0	0	12000	1.01	12000	12000	0	0.00	0.00	0.00	0.00	0.00	12000
2	BUCKINGHAM INDUSTRIES LTD.	AAACB2509C	12000	0	0	12000	1.01	12000	12000	0	0.00	0.00	0.00	0.00	0.00	12000
3	JEEWAN COMMERCIAL LTD.	AAACJ7613F	12000	0	0	12000	1.01	12000	12000	0	0.00	0.00	0.00	0.00	0.00	12000
4	ACEC INDIA PVT. LTD.	AAGCA0046B	1200	0	0	1200	0.10	1200	1200	0	0.00	0.00	0.00	0.00	0.00	1200
5	EAST WEST PROPERTIES PVT. LTD.	AAACE0441L	12000	0	0	12000	1.01	12000	12000	0	0.00	0.00	0.00	0.00	0.00	12000
	TOTAL		49200	0	0	49200	4.13	49200	49200	0.00	0.00	0.00	0.00	0.00	0.00	49200

8 Oct 2016

For Swaglam Trading & Services Ltd.
Sowdha
Company Secretary

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Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of shareholder (i)	PAN (ii)	No. of shareholders (iii)	No. of fully paid up equity shares held (iv)	No. of Partly paid-up equity shares held (v)	No. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding % calculated as per SCRR, 1957 as a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)		Total as a % of (A+B+C)	No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (vii) + (x) As a % of (A+B+C2)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of dematerialized equity shares held in form (xiv)
								Class Equity	Total				No. (a) As a % of total Shares held (b)	No. (a) As a % of total Shares held (b)	No. (a) As a % of total Shares held (b)	No. (a) As a % of total Shares held (b)	
1 Institutions																	
(a) Mutual Funds																	
(b) Venture Capital Funds																	
(c) Alternative Investment Funds																	
(d) Foreign Venture Capital Investors																	
(e) Foreign Portfolio Investors																	
(f) Financial Institutions/Banks																	
(g) Insurance Companies																	
(h) Provident Funds/Pension Funds																	
(i) Any Other Bodies Corporate		2	50000	0	0	50000	4.20	50000	50000	4.20	0	4.20	0	0.00	0	0	50000
ANNEXURE-2																	
Sub-Total (B)(1)		2	50000	0	0	50000	4.20	50000	50000	4.20	0	4.20	0	0.00	0	0	50000
2																	
Central Government/State Government/(G)/President of India																	
Name (xyz)																	
Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3																	
Non Institutional Individuals -																	
(a)																	

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For Swagtam Trading & Services Ltd.
Souja P
Company Secretary

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i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	509	490700	0	0	448100	37.64	448100	448100	448100	37.64	0	37.64	0	0.00	0	0	0	429274
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	19	600600	0	0	643200	54.03	643200	643200	643200	54.03	0	54.03	0	0.00	0	0	0	600600
AS PER ANNEXURE-2																		
NBFCs registered with RBI																		
(b) Name (xyz...)																		
(c) Employee Trusts Name (xyz...)																		
(d) Overseas Depositories (holding DRs) (balancing figure) Name (xyz...)																		
(e) Any Other (specify) Name (xyz...)																		
Subs. Total (BY3)	528	1091300	0	0	1091300	91.67	1091300	1091300	1091300	91.67	0	91.67	0	0.00	0	0	0	1029874
Total Public Shareholding (B)-(B1)-(B2)-(B3)	530	1141300	0	0	1141300	95.87	1141300	1141300	1141300	95.87	0	95.87	0	0.00	0	0	0	1079874

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

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Swaglam Trading & Services Ltd.
Sonia Rani
Company Secretary

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