

SWAGTAM TRADING & SERVICES LIMITED

Regd. Office: R-489, GF-A, New Rajinder Nagar, New Delhi – 110060
Tel : 011-42475489 E-mail : swagtam1984@gmail.com Website : www.swagtam.com
CIN: L51909DL1984PLC289131

Statement showing Shareholding Pattern

Name of the Company **SWAGTAM TRADING & SERVICES LTD**
CIN : L51909DL1984PLC289131
Scrip Code- DSE, Name of the scrip, class of security **EQUITY**
Share Holding Pattern Filed under Reg. 31 (1) (b) **31 - December - 2016**

Declaration :

S. No.	Particulars	Yes / No
1	Whether the Listed Entity has issued any partly Paid Shares	NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants	NO
3	Whether the Listed Entity has any shares against which Depository receipts issued	NO
4	Whether the Listed Entity has any shares in locked - in	NO
5	Whether any shares held by promoters are pledge or otherwise encumbered	NO

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For SWAGTAM TRADING & SERVICES LIMITED


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Table 1 - Summary Statement holding of specified securities

QTR ENDED 31-12-2016

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)		Total as a % of (A+B+C)	No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding : as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII) + (X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)
								Class: Equity	Total				No. (a) As a % of total Shares held (b)	No. (a) As a % of total Shares held (b)		
(A)	Promoter & Promoter Group	5	49200	0	0	49200	4.13	49200	49200	4.13	0	0	0	0	49200	
(B)	Public	530	1141300	0	0	1141300	95.87	1141300	1141300	95.87	0	0	0	0	1079874	
(C)	Non Promoter- Non Public															
(C1)	Shares underlying DRs															
(C2)	Shares held by Employee Trusts															
	Total	535	1190500	0	0	1190500	100.00	1190500	1190500	100	0	0	0	0	1129074	

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of shareholder (I)	PAN (II)	Nos of shareholders (III)	No of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 as a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)		Total as a % of (A+B+C) (X)	No. of Shares Underlying Outstanding convertible securities (including Warrants) (X1)	Shareholding - as a % assuming full conversion of convertible securities (as a % of (A+B+C2)) (X2) As a	Number of Locked in shares (XIII)		Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)
								Class: Equity	Total				(a) As a % of total Shares held (b)	(a) As a % of total Shares held (b)		
1 Individual																
(A) Individual/ Hindu undivided Family																
(b) Central Government/ State Government(s)																
(c) Financial Institutions/ Banks																
(d) Any Other (Besides Corporate)																
AS PER ANNEXURE - 1																
Sub-Total (A)(1)		5	49200	0	0	49200	4.13	49200	49200	4.13	0	4.13	0	0	0	49200
2 Foreign Individuals (Non-Resident Individuals/ Foreign Individuals)																
(a) Name (Siz.)																
(b) Government																
(c) Institutions																
(d) Foreign Portfolio Investor																
(d) Any Other (Specify)																
Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Shareholding of Promoter and Promoter Group (A)-(A)(1)-(A)(2)		5	49200	0	0	49200	4.13	49200	49200	4.13	0	4.13	0	0	0	49200

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ANNEXURE - 1

Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group".

Sl. No.	Name of the shareholder	PAN of Shareholder	No. of fully paid-up Equity Shares Held (IV)	No. of partly paid-up Equity Shares Held (V)	No. of shares underlying Depository Receipts (VI)	Total No. of Shares Held a % of total no. of shares (VII) = (IV) + (V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)		No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII) + (X) As a % of (A+B+C2)	No. of Locked in shares (XII)		No. of shares pledged or otherwise (XIII)		Number of equity shares held in dematerialized form (XIV)
								Class Equity	Total			Total as a % of (A+B+C)	As a % of total shares held (a)	As a % of total shares held (b)	As a % of total shares held (a)	
1	DUNGAN MACNEILL CONSTRUCTION & DEVELOPERS LTD.	AAABCD5109P	12000	0	0	12000	1.01	12000	12000	0	0.00	0.00	0.00	0.00	0.00	12000
2	BUCKINGHAM INDUSTRIES LTD.	AAACB2509C	12000	0	0	12000	1.01	12000	12000	0	0.00	0.00	0.00	0.00	0.00	12000
3	JEEWAN COMMERCIAL LTD.	AAACJ7613F	12000	0	0	12000	1.01	12000	12000	0	0.00	0.00	0.00	0.00	0.00	12000
4	ACEC INDIA PVT. LTD.	AAAGCA0046B	1200	0	0	1200	0.10	1200	1200	0	0.00	0.00	0.00	0.00	0.00	1200
5	EAST WEST PROPERTIES PVT. LTD.	AAACE0441L	12000	0	0	12000	1.01	12000	12000	0	0.00	0.00	0.00	0.00	0.00	12000
	TOTAL		49200	0	0	49200	4.13	49200	49200	0.00	0.00	0.00	0.00	0.00	0.00	49200

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Table III - Statement showing shareholding pattern of the Public shareholder

1	Institutions	(i)	PAN	(ii)	Nos. of shareholders (iii)	No. of fully paid up equity shares held (iv)	No. of Partly paid-up equity shares held (v)	No. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)		Total as a % of (A+B+C)	No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding - as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (vii) + (x) As a % of (A+B+C2)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)		
											No. of Voting Rights Class Equity	Total				No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)			
(a)	Mutual Funds																					
(b)	Venture Capital Funds																					
(c)	Alternative Investment Funds																					
(d)	Foreign Venture Capital Investors																					
(e)	Foreign Portfolio Investors																					
(f)	Financial Institutions/ Banks																					
(g)	Insurance Companies																					
(h)	Provident Funds/ Pension Funds																					
(i)	Any Other Bodies (Corporate)				2	50000	0	0	50000	4.20	50000	50000	4.20	0	4.20	0	0.00	0	0	50000		
	AS PER ANNEXURE-2				2	50000	0	0	50000	4.20	50000	50000	4.20	0	4.20	0	0.00	0	0	50000		
	Sub-Total (B)(1)				2	50000	0	0	50000	4.20	50000	50000	4.20	0	4.20	0	0.00	0	0	50000		

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2	Central Government/ State Government(s)/ President of India																			
	Name (XYZ)																			
	Sub-Total (BK2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3	Non-Institutional																			
(a)	Individuals -																			
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs	501	333376	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs	27	757924	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	AS PER ANNEXURE-2																			
	NBFCs registered with RBI																			
(b)	Name (XYZ...)																			
(c)	Employer/Trusts Name (XYZ...)																			
(d)	Overseas Depositories (holding DRs) (including figure)																			
	Name (XYZ...)																			
(e)	Any Other (specify)																			
	Name (XYZ)																			
	Sub-Total (BK3)	528	1091300	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Public Shareholding (B)-(B1)+(B2)+(B3)	530	1141300	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

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SWAGTAM TRADING & SERVICES LTD
ANNEXURE - 2

CIN : L51909DL1984PLC289131
QTR : 31-DEC-2016

PUBLIC - INDIVIDUAL				
S.R. No.	NAME OF SHAREHOLDERS	PAN	No. of Shares	% of Holding
1	RICHA AGARWAL	ABHPA1347G	60000	5.04
2	VEENA GUPTA	AASPG8892Q	50000	4.20
3	SUMIT GUPTA	AATPG1443N	45000	3.78
4	SEEMA GUPTA	ADZPG7748M	40000	3.36
5	RAJESH GUPTA	AALPG4303M	40000	3.36
6	SUNITA RANI	ASUPR5199M	37500	3.15
7	AMIT GUPTA	AATPG1441Q	37100	3.12
8	RAKESH KUMAR GARG	AARPG1490P	31000	2.60
9	RAJAN MITTAL	ABNPM8118M	30000	2.52
10	ANITA AGRAWAL	AANPA6586C	30000	2.52
11	SAROJ RANI AGARWAL	ABQPA9078H	30000	2.52
12	AAYUSH AGGARWAL	ALAPA1919R	30000	2.52
13	SHWETA GUPTA	AGPPG9195H	30000	2.52
14	PAAYAL .	BEGPP3614E	30000	2.52
15	SHIVANI GARG	ALTPG9441P	30000	2.52
16	MANISH AGGARWAL HUF	AAIHM6935J	25000	2.10
17	MANISH AGGARWAL	AFZPA2150B	25000	2.10
18	ANSHUL JAIN	AEVPJ4322F	22500	1.89
19	SATISH KUMAR GUPTA	AESPG1189G	20100	1.69
20	HARSH VARDHAN BANSAL	AAAPB1908Q	15100	1.27
21	AJAY KUMAR BINDAL	AADPB9118R	15100	1.27
22	SUNITA BINDAL	AHFPB9420M	15100	1.27
23	SHRESTH BANSAL	BBDPB3444C	15100	1.27
24	MEENU BANSAL	AAAPB3590L	15100	1.27
25	JAGBIR SINGH	BLQPS1456L	15000	1.26
26	VIKAS JINDAL	AEUPJ8625A	12200	1.02
27	SHIVANI SODHI	AYSPS5870L	12024	1.01
TOTAL			757924	63.66

PUBLIC - BODY CORPORATE				
S.R. No.	NAME OF SHAREHOLDERS	PAN	No. of Shares	% of Holding
1	WELDON FINCAP PRIVATE LIMITED	AABCS5281M	25000	2.10
2	PRINCEP TRADE & FINANCE (PVT) LTD	AABCP5338C	25000	2.10
TOTAL			50000	4.20

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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of shareholder (i)	PAN (ii)	No. of shareholders (iii)	No. of fully paid up equity shares held (iv)	Partly paid up equity shares held (v)	Nos. of shares underlying Depository Receipt (vi)	Total nos. shares held (VII = (iv + v + vi))	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)		Total as a % of Total Voting rights	No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (Not applicable) (xiii)		Number of equity shares held in dematerialized form (xiv)
								Class Equity	Total				As a % of total shares held	No. held (Not applicable) (xiii)	As a % of total shares		
1 Custodian/DR Holder		N/A															
(a) Name of DR Holder (if available)		N/A															
(i) Name (vz...)		N/A															
(ii) abc... etc...		N/A															
Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		N/A															
(a) Name (abc...)		N/A															
Total Non-Promoter- Non Public Shareholding (C) = (C1)+(C2)		N/A															

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SWAGTAM TRADING AND SERVICES LTD
DISTRIBUTION SUMMARY AS ON 31/12/2016

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Share holding No of Shares	S H A R E			H O L D E R		%	S H A R E			H O L D I N G		%
	Physical	Nsd1	Cds1	Total	Total		Physical	Nsd1	Cds1	Total		
1 to 100	453	0	6	459	85.794	43326	0	600	43926	3.69		
101 to 500	4	0	0	4	0.748	750	0	0	750	0.063		
501 to 1000	0	0	0	0	0	0	0	0	0	0		
1001 to 5000	0	2	2	4	0.748	0	0	0	0	0		
5001 to 10000	2	12	12	26	4.86	17350	0	6200	12500	1.05		
10001 to 20000	0	14	7	21	3.925	0	76750	92400	186500	15.666		
20001 to 30000	0	4	9	13	2.43	0	172100	81524	253624	21.304		
30001 to 40000	0	4	1	5	0.935	0	102500	250100	352600	29.618		
40001 to 50000	0	1	1	2	0.374	0	148500	37100	185600	15.59		
50001 to 100000	0	1	0	1	0.374	0	50000	45000	95000	7.98		
100001 to 500000	0	0	0	0	0.187	0	60000	0	60000	5.04		
500001 to ABOVE	0	0	0	0	0	0	0	0	0	0		
T O T A L	459	38	38	535	100	61426	616150	512924	1190500	100.000		

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