

General information about company

Scrip code	539406
NSE Symbol	
MSEI Symbol	
ISIN	INE150R01019
Name of the company	SWAGTAM TRADING AND SERVICES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2019
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	5	49200			49200	4.13	49200		49200	4.13
(B)	Public	509	1141300			1141300	95.87	1141300		1141300	95.87
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	514	1190500			1190500	100	1190500		1190500	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				4.13					49200
(B)	Public				95.87					1079874
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					1129074

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(d)	Any Other (specify)	5	49200			49200	4.13	49200		49200	4.13
Sub-Total (A) (1)		5	49200			49200	4.13	49200		49200	4.13
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		5	49200			49200	4.13	49200		49200	4.13
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	480	202100			202100	16.98	202100		202100	16.98
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	22	788300			788300	66.22	788300		788300	66.22
(e)	Any Other (specify)	7	150900			150900	12.68	150900		150900	12.68
Sub-Total (B) (3)		509	1141300			1141300	95.87	1141300		1141300	95.87
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		509	1141300			1141300	95.87	1141300		1141300	95.87
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		514	1190500			1190500	100	1190500		1190500	100
Total (A+B+C)		514	1190500			1190500	100	1190500		1190500	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(d)				4.13					49200
Sub-Total (A) (1)				4.13					49200
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				4.13					49200
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(3)	Non-institutions								
(a(i))				16.98					140674
(a(ii))				66.22					788300
(e)				12.68					150900
Sub-Total (B) (3)				95.87					1079874
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				95.87					1079874
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					1129074
Total (A+B+C)				100					1129074

Any Other (specify)						
Serial No.	1	2	3	4	5	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	DUNCAN MACNEILL CONSTRUCTION & DEVELOPERS LIMITED	BUCKINGHAM INDUSTRIES LTD.	JEEWAN COMMERCIAL LTD.	ACEC INDIA PVT LTD	EAST WEST PROPERTIES PVT LTD	
PAN (II)	AABCD5109P	AAACB2509C	AAACJ7613F	AAGCA0046B	AAACE0441L	Total
No. of the Shareholders (I)	1	1	1	1	1	5
No. of fully paid up equity shares held (IV)	12000	12000	12000	1200	12000	49200
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	12000	12000	12000	1200	12000	49200
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.01	1.01	1.01	0.1	1.01	4.13
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	12000	12000	12000	1200	12000	49200
Class eg:y						
Total	12000	12000	12000	1200	12000	49200
Total as a % of Total Voting rights	1.01	1.01	1.01	0.1	1.01	4.13
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.01	1.01	1.01	0.1	1.01	4.13
Number of Locked in shares (XII)						
No. (a)						

As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	12000	12000	12000	1200	12000	49200
Reason for not providing PAN						
Reason for not providing PAN						
Shareholder type	Promoter	Promoter	Promoter	Promoter	Promoter	

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.							
Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	SUMIT GUPTA	RICHA GUPTA	AMIT GUPTA	VEENA GUPTA	SEEMA GUPTA	RAJESH GUPTA	PAAYAL
PAN (II)	AATPG1443N	ABHPA1347G	AATPG1441Q	AFRPG8029B	AFKPG7585D	AALPG4303M	BEGPP3614E
No. of fully paid up equity shares held (IV)	45000	60000	37100	50000	40000	40000	30000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	45000	60000	37100	50000	40000	40000	30000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.78	5.04	3.12	4.2	3.36	3.36	2.52
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	45000	60000	37100	50000	40000	40000	30000
Class eg:y							
Total	45000	60000	37100	50000	40000	40000	30000
Total as a % of Total Voting rights	3.78	5.04	3.12	4.2	3.36	3.36	2.52
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.78	5.04	3.12	4.2	3.36	3.36	2.52
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	45000	60000	37100	50000	40000	40000	30000
Reason for not providing PAN							

Reason for not providing PAN							
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Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.							
Serial No.	8	9	10	11	12	13	14
Name of the Shareholders (I)	ANSHUL JAIN	NUPUR AGGARWAL	REKHA GUPTA	SATISH KUMAR GUPTA	M R AGGARWAL AND SONS HUF	SAROJ RANI AGARWAL	SANJEEV KUMAR JAIN
PAN (II)	AEVPJ4322F	ADQPA7358G	AJHPG9048C	AAPPG1508C	AAGHM1176F	ABQPA9078H	AAJPJ1008L
No. of fully paid up equity shares held (IV)	34200	50200	53000	20100	30200	30000	25000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	34200	50200	53000	20100	30200	30000	25000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.87	4.22	4.45	1.69	2.54	2.52	2.1
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	34200	50200	53000	20100	30200	30000	25000
Class eg:y							
Total	34200	50200	53000	20100	30200	30000	25000
Total as a % of Total Voting rights	2.87	4.22	4.45	1.69	2.54	2.52	2.1
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.87	4.22	4.45	1.69	2.54	2.52	2.1
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	34200	50200	53000	20100	30200	30000	25000
Reason for not providing PAN							

Reason for not providing PAN							
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Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.							
Serial No.	15	16	17	18	19	20	21
Name of the Shareholders (I)	SHIVANI GARG	MANISH AGGARWAL	RAKESH KUMAR GARG	ANITA AGRAWAL	AAYUSH AGGARWAL	SHWETA GUPTA	SUNITA RANI
PAN (II)	ALTPG9441P	AFZPA2150B	AADPJ6719N	AAPNA6586C	ALAPA1919R	AGPPG9195H	ASUPR5199M
No. of fully paid up equity shares held (IV)	30000	25000	31000	30000	30000	30000	37500
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	30000	25000	31000	30000	30000	30000	37500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.52	2.1	2.6	2.52	2.52	2.52	3.15
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	30000	25000	31000	30000	30000	30000	37500
Class eg:y							
Total	30000	25000	31000	30000	30000	30000	37500
Total as a % of Total Voting rights	2.52	2.1	2.6	2.52	2.52	2.52	3.15
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.52	2.1	2.6	2.52	2.52	2.52	3.15
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	30000	25000	31000	30000	30000	30000	37500
Reason for not providing PAN							

Reason for not providing PAN							
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Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		
Serial No.	22	
Name of the Shareholders (I)	RAJAN MITTAL	Click here to go back
PAN (II)	ABNPM8118M	Total
No. of fully paid up equity shares held (IV)	30000	788300
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	30000	788300
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.52	66.22
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	30000	788300
Class eg:y		
Total	30000	788300
Total as a % of Total Voting rights	2.52	66.22
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.52	66.22
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	30000	788300
Reason for not providing PAN		

Reason for not providing PAN		
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Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding
Name of the Shareholders (I)	WELDON FINCAP PVT LTD	PRINCEP TRADE & FINANCE PVT LTD	SAN FINANCIAL SERVICES PVT LTD	CAREFUL INVESTMENTS PVT LTD	NARVIM FINANCE & INVESTMENT PVT LTD	EVERGREEN NATURAL PRODUCTS PVT LTD	AA FINLEASE PVT LTD
PAN (II)	AABCS5281M	AABCP5338C	AABCS2832C	AAACC8728J	AAACN2926P	AABCE8322M	AADCA5328F
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	100000	100000	50100	106900	30100	100200	90300
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	100000	100000	50100	106900	30100	100200	90300
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	8.4	8.4	4.21	8.98	2.53	8.42	7.59
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	100000	100000	50100	106900	30100	100200	90300
Class eg:y							
Total	100000	100000	50100	106900	30100	100200	90300
Total as a % of Total Voting rights	8.4	8.4	4.21	8.98	2.53	8.42	7.59
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	8.4	8.4	4.21	8.98	2.53	8.42	7.59

Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	100000	100000	50100	106900	30100	100200	90300
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)	
Serial No.	
Category	
Category / More than 1 percentage	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of the Shareholders (I)	0
No. of fully paid up equity shares held (IV)	0
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	0
Class eg:y	
Total	0
Total as a % of Total Voting rights	0
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	

Number of equity shares held in dematerialized form (XIV)	0
Reason for not providing PAN	
Reason for not providing PAN	

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence